

Export LC Amendment Islamic User Guide

Oracle Banking Trade Finance Process Management

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Oracle Banking Trade Finance Process Management - Export LC Amendment Islamic User Guide
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Oracle Banking Trade Finance Process Management

Welcome to the Oracle Banking Trade Finance Process Management (OBTFPM) User Guide. This guide provides an overview on the OBTFPM application and takes you through the various steps involved in creating and processing trade finance transactions.

This document will take you through following activities in OBTFPM:

- To create and handle trade finance transaction.

Overview

OBTFPM is a trade finance middle office platform, which enables bank to streamline the trade finance operations. OBTFPM enables the customers to send request for new trade finance transaction either by visiting the branch (offline channels) or through SWIFT/Trade Portal/other external systems (online channels).

Benefits

OBTFPM helps banks to manage trade finance operations across the globe in different currencies. OBTFPM allows you to:

- Handle all trade finance transactions in a single platform.
- Provides support for limit verification and limit earmarking.
- Provide amount block support for customer account.
- Provides acknowledgement to customers.
- Enables the user to upload related documents during transaction.
- Enables to Integrate with back end applications for tracking limits, creating limit earmarks, amount blocks, checking KYC, AML and Sanction checks status.
- Create, track and close exceptions for the above checks.
- Enables to use customer specific templates for fast and easy processing of trade transactions that reoccur periodically.

Key Features

- Stand-alone system that can be paired with any back end application.
- Minimum changes required to integrate with bank's existing core systems.
- Faster time to market.
- Capable to interface with corporate ERP and SWIFT to Corporate.
- Highly configurable based on bank specific needs.
- Flexibility in modifying processes.

Export LC Amendment Islamic

Conventional Export LC Amendment process enables the user to make an amendment to the LC which had been already advised.

The common amendments that are made to an Export LC are:

- Expiry date
- Latest Shipment Date
- Increase/Decrease in LC amount
- Tolerance percentage
- Confirmation instruction
- Available with bank
- Port of loading/discharge & Goods quantity
- Related documents to be submitted
- The amendments may need consent from the beneficiary of the amendment. In such scenarios, after the processing of amendment, the amended LC is parked awaiting beneficiary consent.

The various stages involved for Islamic Export LC Amendment are:

- Receive and verify documents (Non Online Channel)- Registration stage
- Input amendment application details
- Upload of related mandatory and non-mandatory documents
- Verify /capture details (SWIFT MT707, 708/Non Online Channels)- Scrutiny stage
- Input/Modify details of amendment of LC - Data Enrichment stage
- Check for limit availability if applicable
- Check balance availability for amount block
- Check for sanctions & KYC status
- Earmark limits/Create amount block for cash margin/charges if applicable
- Capture remarks for other users to check and act
- Hand off request to back office

The design, development and functionality of the Islamic Export LC Amendment process flow is similar to that of conventional Export LC Amendment process flow.

In the following sections, let's look at the details for Islamic Export LC amendment process.

This section contains the following topics:

Common Initiation Stage	Registration
Data Enrichment	Exceptions
Multi Level Approval	Reject Approval

Common Initiation Stage

The user can initiate the new shipping guarantee request from the common Initiate Task screen.

1. Using the entitled login credentials, login to the OBTFPM application.

2. Click Trade Finance > Initiate Task.

The screenshot shows the Oracle Trade Finance 'Initiate Task' registration form. The sidebar menu on the left includes options like Task Management, Tasks, Trade Finance, Administration, Bank Guarantee Advise, Bank Guarantee Issuance, Common Group Message, Enquiry, Export - Documentary Collection, Import - Documentary Collection, Initiate Task (highlighted), Maintenance, Process Initiation, Shipping Guarantee, Swift Processing, and Trade 360 Degree. The main form area has three input fields: Process Name (Export LC Amendment - Islamic), LC Reference Number (PK2ELAC211250012), and Branch (PK2-Oracle Banking Trade Finan...). There are 'Proceed' and 'Clear' buttons at the bottom right.

Provide the details based on the description in the following table:

Field	Description
Process Name	Select the process name to initiate the task.
LC Reference Number	Select the LC Reference Number.
Branch	Select the branch.

Action Buttons

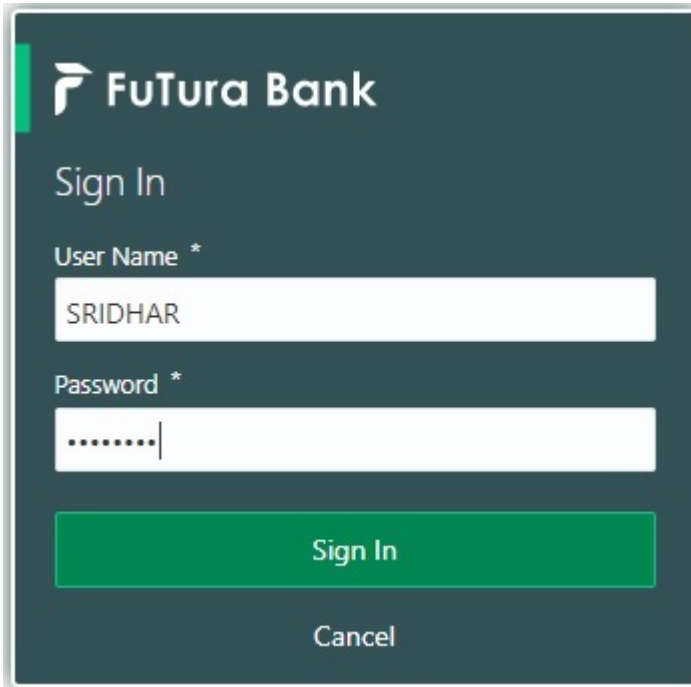
Use action buttons based on the description in the following table:

Field	Description
Proceed	Task will get initiated to next logical stage.
Clear	The user can clear the contents update and can input values again.

Registration

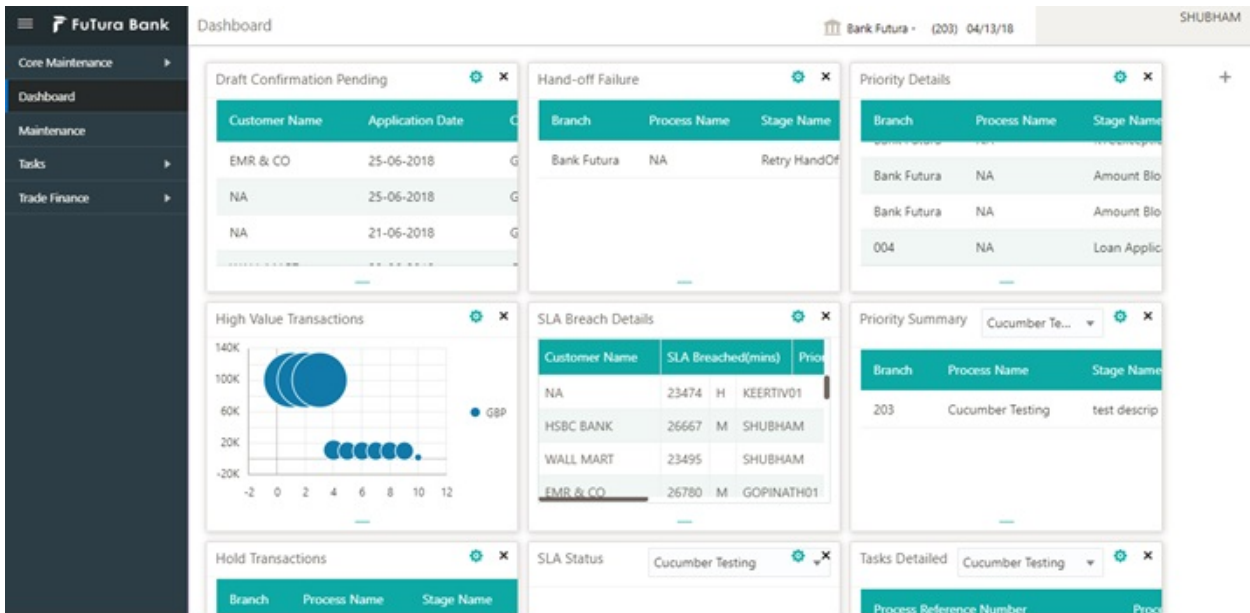
User can register a request for an Islamic Export LC amendment received at the front desk through Mail/ Courier. During Registration stage, user can capture the basic details of the amendment application, check the signature of authorized signatory of the issuing bank, and then upload the related documents of the applicant. On submit of the request, the request will be available for an LC Amendment expert to handle the request in the next stage.

1. Using the entitled login credentials for Registration stage, login to the OBTFPM application.



The image shows the login interface for FuTura Bank. It features a dark blue header with the FuTura Bank logo and the text "Sign In". Below the header, there are two input fields: "User Name *" with the value "SRIDHAR" and "Password *" with masked characters. A green "Sign In" button is positioned below the password field, and a "Cancel" button is located at the bottom center.

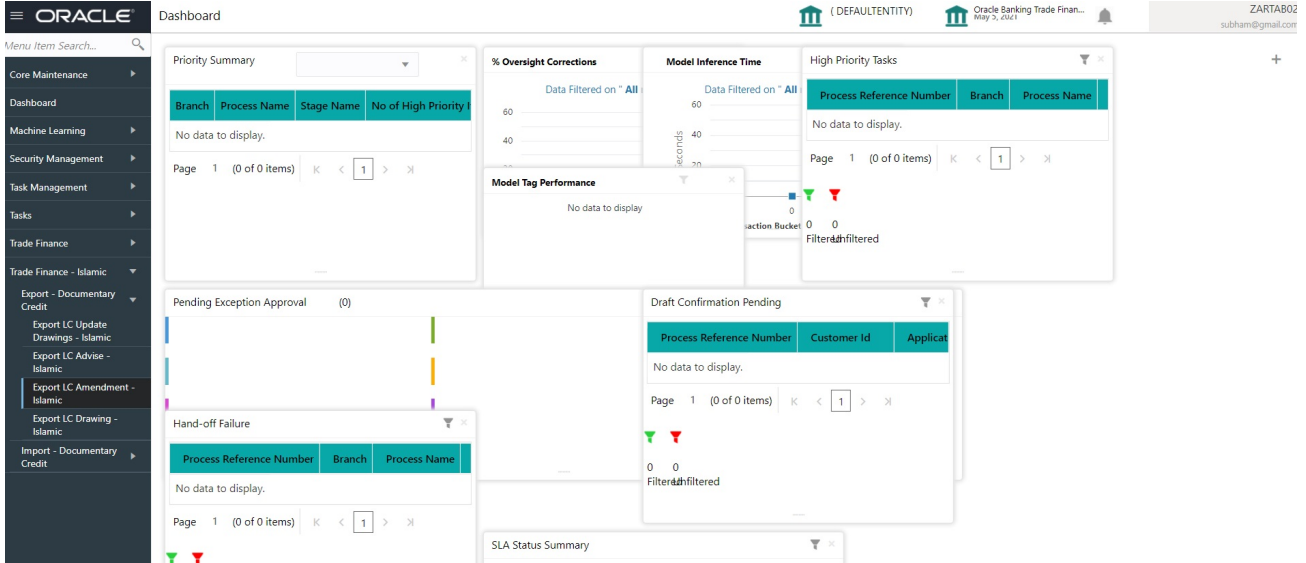
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



The image displays the FuTura Bank dashboard. The left sidebar contains navigation options: Core Maintenance, Dashboard (selected), Maintenance, Tasks, and Trade Finance. The main dashboard area is titled "Dashboard" and shows the user's name "SHUBHAM" and the date "04/13/18". The dashboard is composed of several widgets:

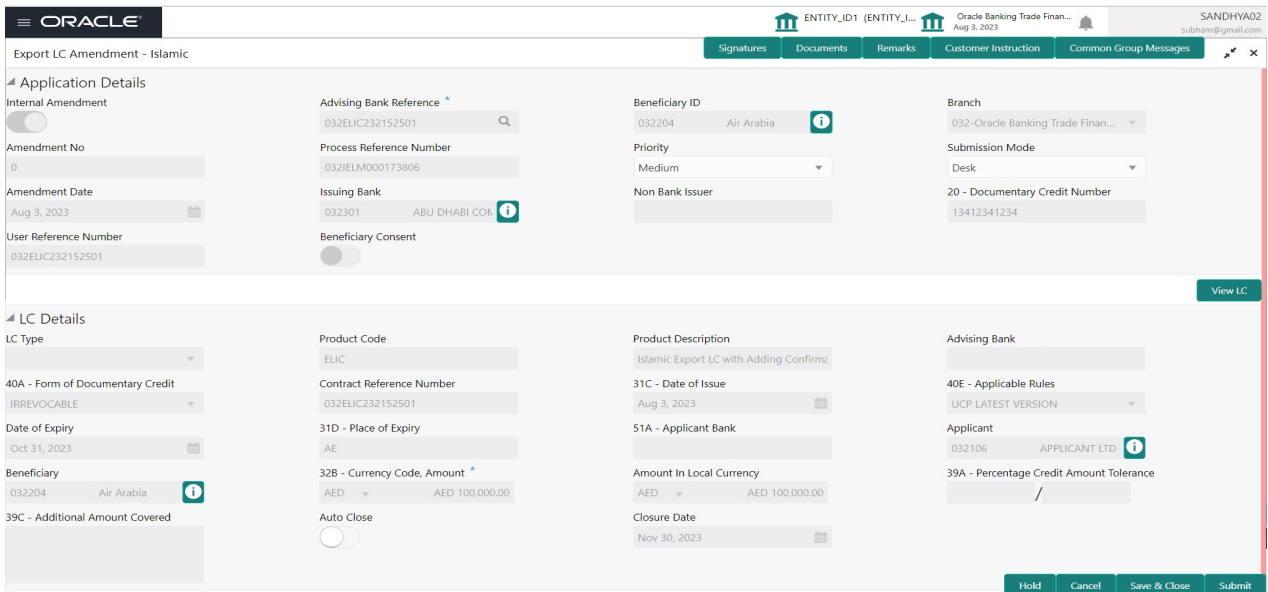
- Draft Confirmation Pending:** A table with columns "Customer Name" and "Application Date".
- Hand-off Failure:** A table with columns "Branch", "Process Name", and "Stage Name".
- Priority Details:** A table with columns "Branch", "Process Name", and "Stage Name".
- High Value Transactions:** A bubble chart showing transaction values for different categories.
- SLA Breach Details:** A table with columns "Customer Name", "SLA Breached(mins)", and "Priority".
- Priority Summary:** A table with columns "Branch", "Process Name", and "Stage Name".
- Hold Transactions:** A table with columns "Branch", "Process Name", and "Stage Name".
- SLA Status:** A widget showing "Cucumber Testing" with a status indicator.
- Tasks Detailed:** A widget showing "Cucumber Testing" with a status indicator.

3. Click Trade Finance - Islamic > Export - Documentary Credit > Export LC Amendment Islamic.




The Registration stage has two sections Application Details and LC Details. Let's look at the details of Registration screens below:

Application Details



Provide the Application Details based on the description in the following table:

Field	Description	Sample Values
Internal Amendment	Enable the option for Internal Amendment Disable the option if Internal Amendment is not required.	

Field	Description	Sample Values
Advising Bank Reference Number	Provide the advising bank reference number. Alternatively, user can search the advising bank reference number using LOV. In the LOV, user can input Export LC Reference Number, Beneficiary, Currency, Amount and User Reference to fetch the Export LC details. Based on the search result, select the applicable Export LC to be amended.	
Beneficiary ID	Read only field. Beneficiary ID and Beneficiary Name will be auto-populated based on the selected Export LC from the LOV.	001344, EMR & CO
Branch	Read only field. Branch details will be auto-populated based on the selected Export LC from the LOV.	203-Bank Futura -Branch FZ1
Amendment No	Read only field. Amendment number will be auto-populated based on selected Export LC. Amendment number increases by 1 for each amendment.	
Process Reference Number	Read only field. Unique sequence number for the transaction. This is auto generated by the system based on process name and branch code.	
Priority	System will default the Priority as Low/Medium/High based on maintenance.	High
Submission Mode	Select the submission mode of Export LC Amendment request. By default the submission mode will have the value as 'Desk'. User can change the defaulted priority. Desk- Request received through Desk Courier- Request received through Courier SWIFT - Non STP - Request received through SWIFT - Non STP	Desk
Amendment Date	By default, the application will display branch's current date and enables the user to change the date to any back date.  Note Future date selection is not allowed. This field is disabled if Internal Amendment option is enabled.	04/13/2018

Field	Description	Sample Values
Issuing Bank	Read only field. Issuing Bank details will be auto-populated based on the selected LC from the LOV.	
Non Bank Issuer	Read only field. Non Bank Issuer details will be auto-populated based on the selected Export LC from the LOV.	
Documentary Credit Number	Read only field. This field displays the Documentary Credit Number of the selected LC.	
User Reference Number	Read only field. User Reference Number will be auto populated by the system based on the selected Export LC.	
Beneficiary Consent	Toggle On: beneficiary consent required. Toggle Off: beneficiary consent not required. This field is disabled if Internal Amendment option is enabled.	

LC Details


Details in this screen displays the data from the LC issued.


The screenshot shows the 'LC Details' screen with the following data:

Field	Value
LC Type	40A - Form of Documentary Credit (IRREVOCABLE)
Product Code	ELIC
Product Description	Islamic Export LC with Adding Confirms
Advising Bank	
Contract Reference Number	PK2ELIC21125A1XH
31C - Date of Issue	May 5, 2021
40E - Applicable Rules	UCP LATEST VERSION
Date of Expiry	Aug 3, 2021
31D - Place of Expiry	CGN
51A - Applicant Bank	
Applicant	001043 MARKS AND SP
Beneficiary	001044 GOODCARE PLC
32B - Currency Code, Amount	GBP £1,000.00
Amount In Local Currency	GBP £1,000.00
39A - Percentage Credit Amount Tolerance	10 / 10
39C - Additional Amount Covered	

Provide the LC Amendment Details based on the description in the following table:

Field	Description	Sample Values
LC Type	Read only field. LC type will be populated based on the selected Export LC.	
Product Code	Read only field. This field displays the product code of the selected Export LC.	
Product Description	Read only field. This field displays the description of the product as per the product code.	

Field	Description	Sample Values
Advising Bank	This field displays the advising bank details of the selected Export LC.	
40A - Form of Documentary Credit	<p>This field displays the form of documentary credit details of the selected Export LC.</p> <p>The user can change the value.</p> <p>The options are:</p> <ul style="list-style-type: none"> • IRREVOCABLE • IRREVOCABLE TRANSFERRABLE <p>This field is disabled if Internal Amendment option is enabled.</p>	
Contract Reference Number	<p>Read only field.</p> <p>Contract Reference Number will be populated based on the selected Export LC.</p>	
Date of Issue	<p>Read only field.</p> <p>This field displays the LC issuance date.</p>	
Applicable Rules	<p>This field displays the rules of the selected Export LC.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Date Of Expiry	<p>This field displays the expiry date of the selected Export LC.</p> <p> Note</p> <p>If amendment to the Expiry date (advancing the expiry date before expiry date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Place of Expiry	<p>This field displays the place of expiry of the selected Export LC.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Applicant Bank	<p>Read only field.</p> <p>This field displays the applicant bank details of the selected Export LC.</p>	

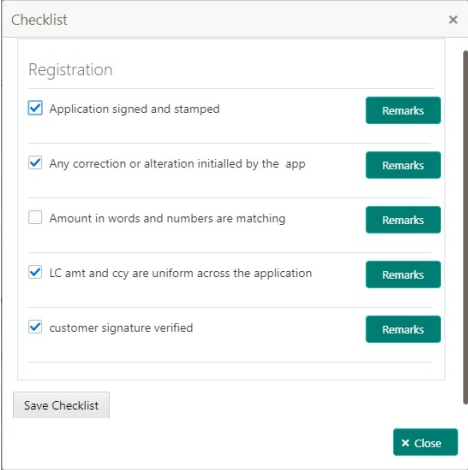
Field	Description	Sample Values
Applicant	Read only field. This field displays the details of the applicant of the selected Export LC.	
Beneficiary	Read only field. This field displays the details of the beneficiary of the selected Export LC.	
Currency Code, Amount	<p>This field displays the value of Export LC along with the currency details of the selected Export LC and user can amend if required.</p> <p> Note If amendment to the Amount (reduction in amount), field in the Export LC, system validates the amended value against the Back- to- Back LC value and display configurable override.</p> <p>The user can change the value.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Amount In Local Currency	System fetches the local currency equivalent value for the LC amount from back office (with decimal places).	
Percentage Credit Amount Tolerance	<p>This field displays the percentage credit amount tolerance details of the selected Export LC and user can amend if required.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Limit verification required	<p>Toggle On: Limit verification is required.</p> <p>Toggle Off: Limit verification is not required.</p> <p>This field is disabled if Internal Amendment option is disabled.</p>	
Additional Amount Covered	<p>This field displays the details of additional amount covered of the selected Export LC and user can amend if required.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	
Auto Close	<p>Enable the option for auto close.</p> <p>This field is disabled if Internal Amendment option is enabled.</p>	

Field	Description	Sample Values
Closure Date	<p>System default the "Closure Date" with the value "Expiry Date" + No of Closure days maintained in the respective Product in which the contract has been created.</p> <p>System automatically close the contract on the specified "Closure Date" if "Auto Close" is selected as "Yes" for the specific contract.</p> <p>User can modify the system defaulted "Closure Date" and system should validate the same for the below conditions,</p> <ul style="list-style-type: none"> • Closure Date must be after the Issue Date. • Closure Date must be after the Expiry Date. • Closure Date cannot be blank, when the "Auto Close" is checked. <p>This field is disabled if Internal Amendment option is enabled.</p>	

Miscellaneous

Provide the Miscellaneous Details based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the amendment request. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
View LC	Enables the user to view the latest LC values displayed in the respective fields. All fields displayed in LC details section are read only fields.	
Action Buttons		
Submit	On submit, task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and displays the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC Amendment Registration stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Checklist	Make sure that the details in the checklist are completed and acknowledge. If mandatory checklist items are not marked, system will display an error on submit. 	



Note

When an amendment to an Export LC which is linked to a Back to Back LC (Import LC) is initiated, system should display a message, the LC is linked to an Import LC.

Scrutiny

Scrutiny user can scrutinize a request for Islamic Export LC Amendment.

As part of scrutiny, user can enter/update basic details of the Islamic LC Amend request and can verify if the request can be progressed further. The task initiated from the online channel (SWIFT MT707, 708 parsing) should be created in the Scrutiny stage directly as in conventional process flow.

MT707/708 Parsing-Conventional and Islamic Trade finance is applicable

When parsing the MT707/708, OBTFPM should read the SWIFT message,

- If 57A tag (ATB) value is available in the message, the task should be created in the Conventional Export LC Amendment process.
- If 57A tag (ATB) value is not available in the message, based on the Advising Bank Ref found in Tag 21 Receiver reference number, system should identify the product code from the Tag21 and create the task in Conventional/Islamic Export LC amendment.

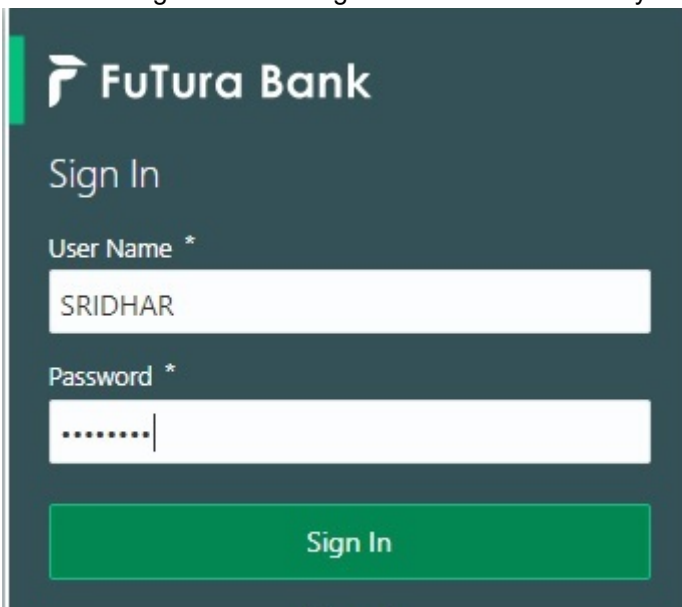
MT707/708 Parsing-where Islamic Trade finance is applicable

When parsing the MT707/708, OBTFPM should read the SWIFT message and all the tasks should be created in the Islamic Export LC amendment process.

If the incoming message is MT 707, requests that are received via online channels like trade portal, external system and SWIFT should be available directly for further processing in OBTFPM from Scrutiny stage.

Do the following steps to acquire a task currently at Scrutiny stage:

1. Using the entitled login credentials for Scrutiny stage, login to the OBTFPM application.



FuTura Bank

Sign In

User Name *

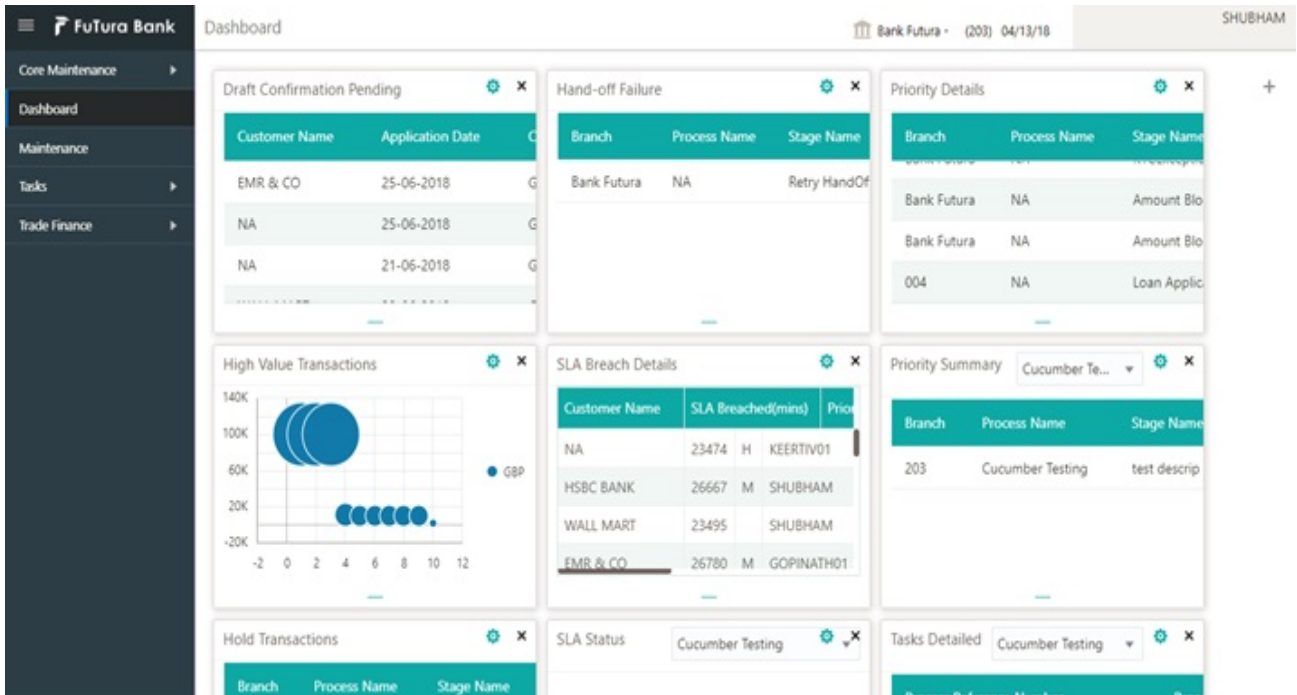
SRIDHAR

Password *

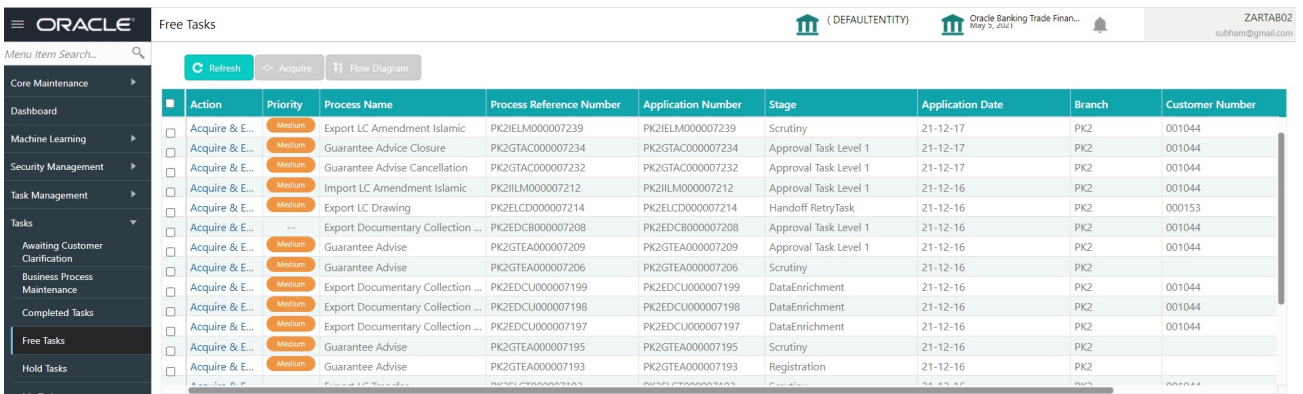
.....

Sign In

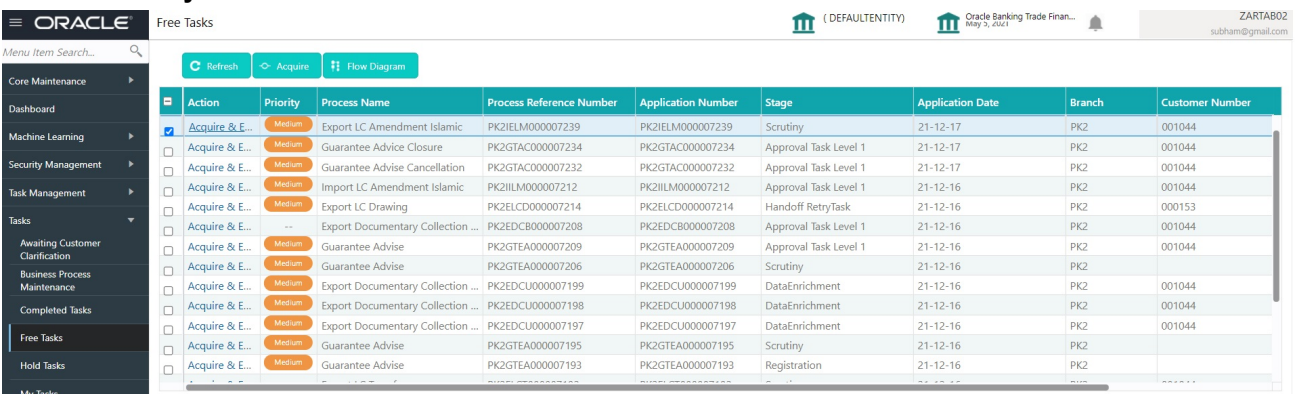
2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks**.



5. The acquired task will be available in **My Tasks** tab. Click **Edit** to scrutinize the registered task.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	An
<input checked="" type="checkbox"/> Edit	Medium	Export LC Amendment Isla...	PK2IELM000007239	PK2IELM000007239	Scrutiny	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Export LC Amendment Isla...	PK2IELM000007240	PK2IELM000007240	Registration	21-12-17	PK2	001044	
<input type="checkbox"/> Edit	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Approval Task Level 1	21-12-17	PK2	000325	
<input type="checkbox"/> Edit	Medium	Import LC Issuance Islamic	PK1IIIJ000007200	PK1IIIJ000007200	KYC Exceptional approval	21-12-16	PK2	000325	
<input type="checkbox"/> Edit	Medium	Shipping Guarantee Issuance	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Lodge Claim - Guarantee Iss...	PK2GTEC000007179	PK2GTEC000007179	Scrutiny	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee advise claim lod...	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Closure	PK2GTEC000007172	PK2GTEC000007172	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee SBLC Issuance -C...	PK2GISC000007171	PK2GISC000007171	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Intern...	PK2GTEI000007167	PK2GTEI000007167	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Intern...	PK2GTEI000007163	PK2GTEI000007163	Registration	21-12-15	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Issuance Amendm...	PK2GTEI000007162	PK2GTEI000007162	DataEnrichment	21-12-15	PK2	001044	
<input type="checkbox"/> Edit	Medium	Guarantee Cancellation	PK2GTEC000007160	PK2GTEC000007160	DataEnrichment	21-12-15	PK2	000325	

The Scrutiny stage has six sections as follows:

- Main Details
- Availability & Shipment
- Payment Details
- Amendment Details
- Additional Details
- Summary

Let's look at the details for Scrutiny stage. User can enter/update the following sections. Some of the fields that are already having value from Registration/online channels may not be editable.

Main Details

Main details section has three sub section as follows:

- Application Details
- LC Details

Application Details

All fields displayed under Application details section, would be read only except for the **Priority** and 'Beneficiary Consent'. Refer to [Application Details](#) for more information of the fields.

Export LC Amendment Islamic
Scrutiny : Application No:- 032IELM000173806

Main Details

Application Details

Internal Amendment:

Amendment No: 0

Amendment Date: Aug 3, 2023

User Reference Number: 032ELIC232152501

Advising Bank Reference: 032ELIC232152501

Process Reference Number: 032IELM000173806

Issuing Bank: 032301 ABU DHABI CON

Beneficiary Consent:

Beneficiary ID: 032204 Air Arabia

Branch: 032-Oracle Banking Trade Finan...

Priority: Medium

Submission Mode: Desk

Non Bank Issuer: 20 - Documentary Credit Number 13412341234

LC Details

LC Type: 40A - Form of Documentary Credit

Product Code: ELIC

Product Description: Islamic Export LC with Adding Confirms

Contract Reference Number: 032ELIC232152501

31C - Date of Issue: Aug 3, 2023

40E - Applicable Rules: UCP LATEST VERSION

Date of Expiry: Oct 31, 2023

31D - Place of Expiry: AE

51A - Applicant Bank: APPLICANT LTD

Beneficiary: 032204 Air Arabia

32B - Currency Code, Amount: AED 100,000.00

Amount In Local Currency: AED 100,000.00

39A - Percentage Credit Amount Tolerance: /

22A - Purpose of Message: 39C - Additional Amount Covered

Auto Close:

Closure Date: Nov 30, 2023

Buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next

LC Details

The fields listed under this section are same as the fields listed under the [LC Details](#) section in [Registration](#). Refer to [LC Details](#) for more information of the fields. During Registration, if user has not captured input, then user can capture the details in this section.

Following are the fields which can be amended apart from the fields carried over from [LC Details](#) of [Registration](#). Provide the details for the amendable fields based on the description in the following table:

Field	Description	Sample Values
Applicable Rules	This field displays the rules of the selected Export LC and user can amend if required.	
Date of Expiry	This field displays the expiry date of the selected Export LC and user can amend if required.	
Place of Expiry	This field displays the place of expiry of the selected Export LC and user can amend if required.	
Currency Code/Amount	This field displays the value of LC along with the currency details of the selected Export LC.	
Percentage Credit Amount Tolerance	This field displays the percentage credit amount tolerance details of the selected Export LC.	
Purpose of message	Advice and Confirmation of the Credit Amendment - Select this option, if LC requires confirmation on the amendment. Advice of the Credit Amendment - Select this option, if LC does not require confirmation on the amendment.	
Additional Amounts Covered	This field displays the details of additional amount covered of the selected LC and user can amend if required.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	<p>Upload the required documents.</p> <p>Application displays mandatory documents to be uploaded for amendment. Place holders are also available to upload additional documents submitted by the applicant.</p> <p>The user can view and input/view application details simultaneously.</p> <p>When a user clicks on the uploaded document, Document window get opened and on clicking the view icon of the uploaded document, Application screen should get split into two. The one side of the document allows to view and on the other side allows to input/view the details in the application.</p>	
Remarks	<p>Provide any additional information regarding the LC. This information can be viewed by other users processing the request.</p>	
Overrides	<p>Click to view the overrides accepted by the user.</p>	
Customer Instructions	<p>Click to view/ input the following</p> <ul style="list-style-type: none"> • Standard Instructions – In this section, the system will populate the details of Standard Instructions maintained for the customer. User will not be able to edit this. • Transaction Level Instructions – In this section, OBTFPM user can input any Customer Instructions received as part of transaction processing. This section will be enabled only for customer initiated transactions. 	
Common Group Message	<p>Click Common Group Message button, to send MT799 and MT999 messages from within the task.</p>	
Incoming Message	<p>Clicking this button allows the user to see the message in case of STP of incoming MT 767.</p> <p>Clicking this button allows parsing and processing MT 707 along with the MT708 messages.</p>	
View LC	<p>Enables the user to view the latest LC values displayed in the respective fields.</p>	

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Availability Shipment

A Scrutiny user can scrutinize the Availability and Shipment request for Islamic Export LC Amendment.

Availability Details

Export LC Amendment Islamic - Scrutiny :: Application No: PK2IELM000007239

Screen (2 / 6)

Main Details
Availability Shipment
 Payment Details
 Amendment Details
 Additional Details
 Summary

Availability Shipment

Availability Details

41a-Available with *
 CITIGB2LNNN

41a-Available By *
 BY PAYMENT

42C-Drafts At

Drawee

Tenor

Shipment Details

43P-Partial Shipments
 ALLOWED

43T-Transshipment
 ALLOWED

44A-Place of Taking in Charge
 TEST

44E-Port of Loading
 TEST

44F-Port of Discharge
 TEST

44B-Place of Final Destination
 TEST



44C-Latest Date of Shipment
 Nov 30, 2021

44D-Shipment Period

45A Description of Goods and/or Services

INCO Terms
 DAF

INCO Terms Description
 Delivered At Frontier (named place)

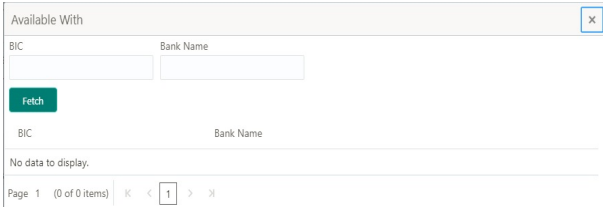
Goods Code	Goods Type	Goods Description	No of Units	Price per Unit	Total Amount	Action
COTTON1	G	cotton1	1	£1,000.00	£1,000.00	 


Page 1 of 1 (1 of 1 items)

Audit

Reject Refer Hold Cancel Save & Close Back Next


As part of amendment, user can change the values available in the fields based on the description in the following table:




Field	Description	Sample Values
Available With	<p>This field identifies the bank with which the credit is available.</p> <p>User must capture the bank details or any free text.</p> <ul style="list-style-type: none"> If the LC is restricted to any particular bank,, search the bank with SWIFT code (BIC) or Bank Name.  <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address gets defaulted.</p> <ul style="list-style-type: none"> If the LC is not restricted to any bank, provide free text - (YOURSELVES, WITH ANY BANK etc.). 	



Field	Description	Sample Values
Available By	<p>This field displays the value of 'Available By' as per the issued LC. Choose one of the following values from drop down, if required.</p> <ul style="list-style-type: none"> ● BY ACCEPTANCE ● BY DEF PAYMENT ● BY MIXED PAYMENT ● BY NEGOTIATION ● BY PAYMENT <p>Validation:</p> <p>1) If By Mixed Payment option is selected, there must be a value in tag 42M- Mixed payment</p> <p>2) If By deferred payment is selected, there must be a value in tag 42P- Deferred payment</p> <p>3) If By payment is selected, payment at sight is applicable. It must be applicable for Sight Type of product only.</p>	
Drafts At	<p>This field displays the details of tenor of drafts to be drawn under the documentary credit as per the issued LC.</p> <p>The user can specify the value.</p>	
Drawee	<p>This field displays the Drawee value as per the issued LC.</p> <p>This field will have value only if 'Drafts at' field has values.</p> <p>Select the Drawee bank (Advising bank or Confirming bank).</p> <ul style="list-style-type: none"> ● Search the bank with SWIFT code (BIC) or Bank Name. <div data-bbox="568 1361 1171 1570" style="border: 1px solid #ccc; padding: 5px; margin: 10px 0;"> <p>Available With x</p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p>Fetch</p> <p>BIC <input type="text"/> Bank Name <input type="text"/></p> <p>No data to display.</p> <p>Page 1 (0 of 0 items) < 1 ></p> </div> <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <div style="text-align: center; margin: 10px 0;">  <p>Note</p> </div> <p>This field is enabled if value is provided at Drafts At field.</p>	
Tenor	<p>This field displays the value of Tenor as per the issued LC and can be modified if required.</p>	

Shipment Details

As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Partial Shipments	<p>This field displays the value of Partial Shipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not partial shipments are allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Transshipment	<p>This field displays the value of Transshipments as per the LC and can be modified if required.</p> <p>This field specifies whether or not transshipment is allowed under the documentary credit.</p> <p>Select the appropriate value from the drop down. Available values are:</p> <ul style="list-style-type: none"> • ALLOWED • CONDITIONAL • NOT ALLOWED 	
Place Of Taking In Charge	<p>This field displays the value of place of taking in charge as per the LC and can be modified if required.</p> <p>This field specifies the place of taking in charge (in case of a multi-modal transport document), the place of receipt (in case of a road, rail or inland waterway transport document or a courier or expedited delivery service document), the place of dispatch or the place of shipment to be indicated on the transport document.</p> <p> Note This field is alternate to Port Of Loading. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Port Of Loading	<p>This field displays the value of port of loading as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Place Of Taking In Charge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Port Of Discharge	<p>This field displays the value of port of discharge as per the LC and can be modified if required.</p> <p>This field specifies the port of discharge or airport of destination to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Place Of Final Destination. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	
Place Of Final Destination	<p>This field displays the value of Place of Final Destination as per the LC and can be modified if required.</p> <p>This field specifies the final destination or place of delivery to be indicated on the transport document.</p>  <p>Note</p> <p>This field is alternate to Port Of Discharge. Any of these fields must have value and if both the fields has values, application will display an error message.</p>	

Field	Description	Sample Values
Latest Date Of Shipment	<p>This field displays the value of Latest Date of Shipment as per the LC and can be modified if required.</p> <p>This field is alternate to Shipment Period. Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>  <p>Note</p> <p>If amendment to the Shipment date (advancing the Latest Shipment Date before the Latest Shipment date of the underlying Import LC), system validates the amended value against the Back-to-Back LC value and display configurable override.</p>	
Shipment Period	<p>This field displays the value of shipment period as per the LC and can be modified if required.</p>  <p>Note</p> <p>This field is alternate to Latest Date Of Shipment.</p> <p>Latest date of shipment or shipment period must have value and if both the fields has values, application will display an error message.</p>	

Description Of Goods And Or Services

This field contains a description of the goods and/or services of the issued LC and can be amended if required. Provide the Shipment Details based on the description in the following table:

Field	Description	Sample Values
INCO Terms	Select the appropriate INCO terms.	
INCO Term Description	The description of the INCO Term.	
+ Icon	Click + icon to add goods details.	
- Icon	Click - icon to remove goods details.	
Goods Code	Click look up icon to select the goods code.	
Goods Type	The goods type is auto populated depending on selected goods code.	
Goods Description	The goods description is auto populated depending on selected goods code.	

Field	Description	Sample Values
No of Units	Enter the number of units being imported or exported.	
Price per Unit	Enter the value for price per unit.	
Total Amount	System to calculate the total price In case of online request, the system should populate the total amount from incoming request. System should validate that the total amount is equal to the value of the transaction (LC/ Collection).	
Action	Click Edit icon to edit the goods detail. Click Delete icon to delete the goods detail.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request.	
Cancel	Cancel the Export LC amendment Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	<p>On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.</p>	

Payment Details

A Scrutiny user can scrutinize the Payment Details request for Islamic Export LC Amendment.

The screenshot displays the Oracle Banking Trade Finance interface for an Islamic Export LC Amendment. The main section is titled "Payment Details" and contains several sub-sections:

- Payment Details:** Includes fields for "49G-Special Payment conditions for beneficiary", "49H-Special Payment conditions for receiving bank", "48-Period for Presentation", "49-Confirmation Instructions" (set to CONFIRM), "Confirmation Amount" (AED 0.00), "Confirmation %", "Confirmation Expiry Date", "Extend Confirmation" (toggle), and "72-Sender to Receiver Information".
- Information to Issuing Bank:** Includes fields for "72-Sender to Receiver Information", "71 D Charges", "79Z Narrative", "Issuing Bank Account No", "Charges to be Claimed", "Issuing Bank Date", and "57a - Account with Bank".
- Information to Advise Through Bank:** Includes a field for "72-Sender to Receiver Information".




The interface also features a navigation menu on the left, a top navigation bar with tabs like "Clarification Details", "Documents", "Remarks", "Overrides", "Customer Instruction", "Common Group Messages", "Incoming Message", and "View LC", and a bottom navigation bar with buttons like "Request Clarification", "Reject", "Refer", "Hold", "Cancel", "Save & Close", "Back", and "Next".


Payment Details


As part of amendment, user can change the values available in the fields based on the description in the following table:

Field	Description	Sample Values
Special Payment conditions for beneficiary	This field displays the value of Spl Paymt Condn - Beneficiary as per the LC and can be modified if required. If any special payment condition has to be provided to beneficiary, the details for the same must be captured in this field.	
Special Payment conditions for receiving bank	This field displays the value of Spl Paymt Condn - Rec Bank as per the LC and can be modified if required. If any special payment condition has to be provided to receiving bank, the details for the same must be captured in this field. This field specifies special payment conditions applicable to the receiving bank without disclosure to the beneficiary, for example, post-financing request/ conditions for receiving bank only.	

Field	Description	Sample Values
Period for Presentation	<p>This field displays the value of Period for Presentation as per the LC and can be modified if required.</p> <p>If the period of presentation is based on any event other than shipment, then you can capture the event name in text along with the number of days in number.</p>	
Confirmation Instructions	<p>This field displays the value of Confirmation Instructions as per the LC and can be modified if required.</p> <p>Select the confirmation instruction for the LC from the available LOV values – CONFIRM, MAY ADD, WITHOUT.</p> <p>Applicable only if field 49 - confirmation instruction is 'confirm' or 'may add'. You can search through LOV, Party type with banks should only be displayed in LOV. The system must display the following:</p> <p>a) SWIFT code (if available),</p> <p>b) Name and address of the bank</p> <p>On selection of the record, if SWIFT code is available then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Partial Confirmation Allowed	<p>Read only field.</p> <p>Toggle On: Toggle 'On' indicates partial confirmation is allowed.</p> <p>Toggle Off: Toggle 'Off' indicates partial confirmation is disabled.</p>	
Silent Confirmation	<p>This option when enabled:</p> <ul style="list-style-type: none"> ● Confirmation Percentage should default as 100 and Silent Confirmation Amount should display the full LC outstanding value. User should not be able to modify them. ● All other confirmation fields to be blank and disabled. <p>This field is added only for LC in which Issuing Bank does not request confirmation,</p> <p>This field is enabled, if Confirmation Instruction field has value Without or May Add.</p>	

Field	Description	Sample Values
Confirmation%	<p>Provide the confirmation percentage.</p> <p>If Internal Amend set yes, user can provide confirmation percent to add confirmation to LC.</p> <p>If Internal Amend set No, this field will be display only.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm or May Add and and confirming Bank is "Advising Bank.</p> <p> Note This field is alternate to 'Confirmation Amount'.</p>	
Confirmation Amount	<p>Provide the confirmation percentage.</p> <p>If Partial Confirmation Allowed field is enabled and 'Confirmation %' is below 100, system allows to modify "Confirmation %" and "Confirmation Amount".</p> <p>If Internal Amend set yes, user can provide confirmation percent to add confirmation to LC.</p> <p>If Internal Amend set No, this field will be display only.</p> <p> Note This field is applicable only if Confirmation Instructions is set to Confirm or May Add and and confirming Bank is "Advising Bank</p>	
Requested Confirmation Party Type	<p>Select the requested confirmation party type from the drop-down.</p> <p>The options are:</p> <ul style="list-style-type: none"> ● Advising Bank - ● Advise Through Bank ● Others <p>This field is enabled if the Confirmation Instructions is CONFIRM or MAY ADD.</p>	

Field	Description	Sample Values
Requested Confirmation Party	<p>This field displays the value of Requested Confirmation Party as per the issued LC and can be modified if required.</p>  <p>Note This field is applicable only for LC Type - Confirmed LC.</p>	
Confirmation Expiry Date	<p>Read only field.</p> <p>This field indicates the date up to which the Confirmation is valid.</p> <p>This field displays the Confirmation Expiry Date as available for a LC.</p> <p>When the underlying Confirmed LC is amended to have a new Expiry Date, the value will be populated in OBTFPM also. If new expiry date is to be confirmed, user can update the Confirmation Expiry Date to the new Expiry Date. If the new expiry date is not to be confirmed, user should not update the New Confirmation Expiry Date. System should validate that the value is only either the original expiry date or the new expiry date.</p> <p>In case Confirmation Expiry Date is to be extended, then on hand-off the related flag should be set to yes in back office system. In case Confirmation Expiry Date is not to be extended, then on hand off, related flag should be set to No in back office.</p>	
Extend Confirmation	<p>This field displays whether the Confirmation is extended for amended LC.</p> <p>“Extend Confirmation” field value is editable only during Export LC Amendment process, where Internal Amendment toggle selected as “No”.</p> <p>Based on the selection of “Extend confirmation”, system updates the Confirmation Percentage, Confirmation Amount, and Confirmation Expiry Date.</p> <p>This field appears if Internal Amendment toggle is disabled.</p>	

Field	Description	Sample Values
Reimbursing Bank	<p>This field displays the value of Reimbursing Bank as per the LC and can be modified if required.</p> <p>Search through LOV. Party type with banks will be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available), • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p>	
Advise Through Bank	<p>Online Channel – User can update the details received.</p> <p>Non-Online Channel -</p> <p>Search through LOV. Party type with banks must be displayed in LOV.</p> <ul style="list-style-type: none"> • SWIFT code (if available) • Name and address of the bank <p>On selection of the record if SWIFT code is available, then SWIFT code will be defaulted. If SWIFT code is not available then the bank's name and address to be defaulted.</p> <p> Note</p> <p>In case the selected Bank is not RMA Compliant, the system displays error message “RMA arrangement not available”.</p> <p>In case of SWIFT MT707 message, this field is empty.</p>	
Instructions to P/A/N Bank	<p>This field displays the value of Instructions to P/A/N Bank as per the LC and can be modified if required.</p>	
Sender to Receiver Information	<p>This field displays the value of Sender to Receiver Information (FFT Details) as per the LC and can be modified, if required.</p>	
Charges	<p>This field displays the charges and can be modified, if required.</p>	
Amendment charges payable by	<p>Select the party to pay the amendment charges:</p> <ul style="list-style-type: none"> • Applicant • Beneficiary • Others 	
Instructions to Intermediary Bank	<p>Specify or click search and select the instructions to intermediary bank.</p>	

Information to Issuing Bank

Provide MT730 - Information to Issuing Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	
Narrative	This field displays the additional information from the advising bank to the issuing bank and can be modified, if required.	
Issuing Bank Account Number	This field displays the issuing bank account number from the LOV and can be modified, if required.	
Charges to be Claimed	This field displays the FFT from the LOV for the charges to be claimed and can be modified, if required.	
Charges	This field displays the charge details for advising and can be modified, if required.	
Issuing Bank Date	This field displays the issuing bank date and can be modified, if required.	
Account with Bank	This field displays the account to which the charges needs to be paid and can be modified, if required.	

Information to Advise Through Bank

Provide MT710 - Information to Advise Through Bank details based on the description in the following table:

Field	Description	Sample Values
Sender to Receiver Information	This field displays the additional information to receiver and can be modified, if required.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Overrides	Click to view the overrides accepted by the user.	

Field	Description	Sample Values
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a reject reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a reject code and give a reject description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Amendment Details

This section lists the amendments made to the LC. A Scrutiny user can scrutinize the Amendment data segment details for Islamic Export LC Amendment.

The amendment details table displays the original value and the amended values of the amended fields in two different columns to enable Scrutiny user to identify the modifications made to the LC.

Export LC Amendment Islamic - Scrutiny :: Application No: PK2IELM000007239

Amendment Details

Screen (4 / 6)

Field Name	Amended Value	Value as per LC
	NOT ALLOWED	
	001041	
	NOT ALLOWED	

Party Details

Party Type	Party ID	Customer Ref No	Address1	Address2	Country	Status
No data to display.						

Goods Details

Goods Code	Goods Type	Goods Description	Status
No data to display.			

Audit

Reject Refer Hold Cancel Save & Close Back Next

Additional Fields

Banks can configure these additional fields during implementation.

Export LC Amendment - Scrutiny :: Application No: GS1ELCA000006302

Additional Fields

Screen (4 / 6)

No Additional fields configured!

Audit

Reject Hold Cancel Save & Close Back Next

Additional Details

A Scrutiny user can verify/input/update the additional details Data Segment of the Export LC Amend request.

As part of Additional details section, LC Amend may have impact on the Limits, Collaterals and Charge section.

Export LC Amendment Islamic - Scrutiny :: Application No: PK2IELM000007239

Additional Details

Limits and Collaterals	Commission,Charges and...	Revolving Details	FX Linkage
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral : 80 Contribution : Collateral Status :	Charge : Commission : Tax : Block Status :	Revolving : No Revolving In : Revolving Frequency :	FX Reference Number : Contract Currency : Contract Amount :

Audit

Reject Refer Hold Cancel Save & Close Back Next

Limits & Collateral

Limit availability needs to be checked if amendment involves increase in amount or tolerance or both and the LC is confirmed/ to be confirmed with the amended values. Provide the Limit Details based on the description in the following table:

Limits and Collaterals

Limit Details

Customer ID	Linkage Type	Liability Number	Line Id/Linkage Ref No	Line Serial	Contribution %	Contribution Currency	Amount to Earmark	Limit Check Response	Response Message
032301	Facility	032301	032301	1	Infinity	AED	10	Available	Balance available of AED 995

Cash Collateral Details

Collateral Percentage * 56.0

Collateral Currency and amount AED AED 56,000.00

Exchange Rate 1.0

Sequence Number	Settlement Account Currency	Settlement Account	Exchange Rate	Collateral %	Contribution Amount	Contribution Amount in Account Currency	Account Balance Check Respons
No data to display.							


Deposit Linkage Details

Deposit Account	Deposit Currency	Deposit Maturity Date	Transaction Currency	Deposit Available In Transaction Currency	Linkage Amount(Transaction Currency)	Edit	Delete
No data to display.							

Save & Close Cancel

Limit Details
✕

<p>Customer Id 001044 <input type="text"/></p> <p>Contribution % * 1.0 <input type="text"/></p> <p>Contribution Currency GBP <input type="text"/></p> <p>Limit/Liability Currency GBP <input type="text"/></p> <p>Limit Check Response Available <input type="text"/></p> <p>Expiry Date <input type="text"/></p> <p>Response Message The Earmark can be performed as the f <input type="text"/></p>	<p>Linkage Type * Facility <input type="text"/></p> <p>Liability Number * PK2LIAB01 <input type="text"/></p> <p>Line Id/Linkage Ref No * PK2L01SL1 <input type="text"/></p> <p>Limits Description <input type="text"/></p> <p>Contribution Amount * <input type="text" value="£220.00"/></p> <p>Limit Available Amount <input type="text" value="£999,999,903.89"/></p> <p>ELCM Reference Number <input type="text"/></p>
--	---


Field	Description	Sample Values
	Click plus icon to add new Limit Details.	

Limit Details

Click + plus icon to add new limit details.

Below fields are displayed on the Limit Details pop-up screen, if the user clicks plus icon.

Customer ID	Applicant's/Applicant Bank customer ID will get defaulted.	
Linkage Type	Select the linkage type. Linkage type can be: <ul style="list-style-type: none"> • Facility • Liability By default Linkage Type should be "Facility".	
Contribution%	System will default this to 100%. User can modify, if contribution is more than 100%. System will display an alert message, if modified. Once contribution % is provided, system will default the amount. System to validate that if Limit Contribution% plus Collateral% is equal to 100. If the total percentage is not equal to 100 application will display an alert message.	

Field	Description	Sample Values
Liability Number	Click Search to search and select the Liability Number from the look-up. The list has all the Liabilities mapped to the customer.	
Contribution Currency	The LC currency will be defaulted in this field.	
Line ID/Linkage Ref No	Click Search to search and select the from the various lines available and mapped under the customer id gets listed in the drop down. LINE ID-DESCRIPTION will be available for selection along with Line ID. When you click on 'verify', the system will return value if the limit check was successful or Limit not Available. If limit check fails, the outstanding limit after the transaction value will be shown in the limit outstanding amount.  <p>Note User can also select expired Line ID from the lookup and on clicking the verify button, system should default "The Earmarking cannot be performed as the Line ID is Expired" in the "Response Message" field.</p> <p>This field is disabled and read only, if Linkage Type is Liability.</p>	
Limit/ Liability Currency	Limit Currency will be defaulted in this field, when you select the Liability Number	
Limits Description	This field displays the limits description.	
Limit Check Response	Response can be 'Success' or 'Limit not Available' based on the limit service call response.	
Contribution Amount	Contribution amount will default based on the contribution %. User can change the value.	
Expiry Date	This field displays the date up to which the Line is valid	
Limit Available Amount	This field will display the value of available limit, i.e., limit available without any earmark. The Limit Available Amount must be greater than the Contribution Amount. The value in this field appears, if you click the Verify button.	

Field	Description	Sample Values
Response Message	Detailed Response message. The value in this field appears, if you click the Verify button.	
ELCM Reference Number	This field displays the ELCM reference number.	
Below fields appear in the Limit Details grid along with the above fields.		
Line Serial	Displays the serial of the various lines available and mapped under the customer id. This field appears on the Limits grid.	
Edit	Click the link to edit the Limit Details	
Delete icon	Click delete icon to delete the existing limit details.	

Collateral Details

Collateral availability needs to be checked if amendment involves increase in amount or tolerance. Provide the collateral details based on the description provided in the following table:

Collateral Details
✕

<p>Total Collateral Amount * AED 34.00</p>	<p>Collateral Amount to be Collected * AED 34.00</p>
<p>Collateral Amount to be Released</p>	<p>New Collateral Amount</p>
<p>Sequence Number 1.0</p>	<p>Collateral Split % * 45.0</p>
<p>Collateral Contribution Amount * AED 15.30</p>	<p>Settlement Account * 0322040001</p>
<p>Settlement Account Currency AED</p>	<p>Exchange Rate 1.0</p>
<p>Contribution Amount in Account Currency AED 15.30</p>	<p>Account Available Amount AED 8,687,414,521.64</p>
<p>Response VS</p>	<p>Response Message The amount block can be performed as the account has sufficient balance</p>

Verify

✓ Save & Close ✕ Cancel

Field	Description	Sample Values
Cash Collateral Details		
Collateral Percentage	System populates the Collateral % maintained in the Customer / Product for the counter party of the contract. User can modify the collateral percentage.	
Collateral Currency and amount	System populates the contract currency as collateral currency by default. User can modify the collateral Currency and amount.	
Exchange Rate	System populates the exchange rate maintained. System validates for the Override Limit and the Stop limit if defaulted exchange rate is modified	

Click + plus icon to add new collateral details.


Below fields are displayed on the Collateral Details pop-up screen, if the user clicks plus icon.

Total Collateral Amount	Read only field. This field displays the total collateral amount provided by the user.	
Collateral Amount to be Collected	Read only field. This field displays the collateral amount yet to be collected as part of the collateral split.	
Collateral Amount to be Released	Read only field. This field displays the collateral amount to be Released.	
New Collateral Amount	Read only field. This field displays the new collateral amount.	
Sequence Number	Read only field. The sequence number is auto populated with the value, generated by the system.	
Collateral Split %	Specify the collateral split% to be collected against the selected settlement account.	
Collateral Contribution Amount	Specify the collateral amount to be collected against the selected settlement account. User can either provide the collateral % where the collateral amount will be auto populated or modifying the collateral amount will auto correct the collateral %.	
Settlement Account	Select the settlement account for the collateral amount.	

Field	Description	Sample Values
Settlement Account Currency	Read only field. This field displays the settlement account currency defaulted by the system.	
Exchange Rate	Read only field. This field displays the exchange rate, if the settlement account currency is different from the collateral currency.	
Contribution Amount in Account Currency	Read only field. This field displays the contribution amount in the settlement account currency as defaulted by the system.	
Account Available Amount	Read only field. System populates the account available amount on clicking the Verify button.	
Response	Read only field. System populates the response on clicking the Verify button.	
Response Message	Read only field. System populates the response message on clicking the Verify button.	
Verify	Click to verify the account balance of the Settlement Account.	
Save & Close	Click to save and close the record.	
Cancel	Click to cancel the entry.	

Below fields appear in the **Cash Collateral Details** grid along with the above fields.

Collateral %	<p>User must enter the percentage of collateral to be linked to this transaction. If the value is more than 100% system will display an alert message.</p> <p>System defaults the collateral % maintained for the customer into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product.</p> <p>User can modify the defaulted collateral percentage, in which case system should display an override message "Defaulted Collateral Percentage modified".</p>	
--------------	--	--

Field	Description	Sample Values
Contribution Amount	<p>This field displays the collateral contribution amount.</p> <p>The collateral % maintained for the customer is defaulted into the Collateral Details screen. If collateral % is not maintained for the customer, then system should default the collateral % maintained for the product. User can modify the defaulted collateral percentage, in which case system should display a override message "Defaulted Collateral Percentage modified.</p>	
Delete Icon 	Click minus icon to remove any existing Collateral Details.	
Edit Link	Click edit link to edit any existing Collateral Details.	

Deposit Linkage Details

In this section which the deposit linkage details should be captured. System should allow the user to Link one or more existing Deposits as a contribution to secure underlying transactions. On Submit of DE stage, system will create Linkage of the Deposit/modification of existing Linkage by calling Back-office system (DDA) system directly.

Deposit Linkage Details
✕

Customer Id
032301

Deposit Branch
032

Deposit Maturity Date
Apr 2, 2023

Deposit Available In Transaction Currency
AED 100,000.00



Linkage Amount(Transaction Currency) *
AED 45,000.00

Deposit Account
000CD01230020005

Deposit Available Amount
AED 100,000.00

Exchange Rate
1

Linkage Percentage % *
45.0

Field	Description	Sample Values
Plus Icon 	Click plus icon to add new Limit Details.	
Delete Icon 	Click delete icon to remove any existing Limit Details.	
Edit	Click edit link to edit the limit details.	
Deposit Currency	The currency will get defaulted in this field.	

Below fields are displayed on the Deposit Linkage Details pop-up screen, if the user clicks plus icon.

Customer Id	Customer ID is defaulted from the system. User can change the customer ID.	
Deposit Account	All the Deposits of the customer should be listed in the LOV search. User should be able to select the deposit for linkage.	
Deposit Branch	Branch will be auto populated based on the Deposit Account selection.	
Deposit Available Amount	The currency and amount will be auto-populated based on the Deposit Account selection.	
Deposit Maturity Date	Maturity Date of Deposit to be displayed.	
Exchange Rate	Latest Exchange Rate for deposit linkage should be displayed. This will be picked up from the exchange rate maintenance from the common core.	
Deposit Available In Transaction Currency	This field displays the deposit amount available, after exchange rate conversion, if applicable.	
Linkage Percentage %	Specify the value for linkage percentage.	
Linkage Amount (Transaction Currency)	System to default the transaction amount user can change the value.	

Commission, Charges and Taxes Details

On click of 'Next' in the previous screen, system will auto populate the charges, commission and tax components mapped to the product from the back office system.

The system also default the Charges/Commission Party maintained for the customer as per defined Class Maintenance in OBTF. System simulates the Charges, Commission and Tax details from the Back office.

If default charges are available under the product, they should be defaulted here with values. If customer or customer group specific charges are maintained, then the same will be defaulted from back end system.

Commission, Charges and taxes
✕

Recalculate
Redefault

▲ Commission Details

Event

Event Description

Component	Rate	Mod. Rate	Ccy	Amount	Modified	Defer	Waive	Split	Charge Party	Settl. Acct	Amend
No data to display.											

Page 1 of 0 (0 of 0 items) ⏪ < 1 > ⏩

▲ Charge Details

Component	Tag currency	Tag Amount	Ccy	Amount	Modified	Billing	Defer	Waive	Split	Charge Party	Settl. Acct
LCCOURAMND	AED	0	AED	AED 50.00		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Air Arabia	0322040001

Page 1 of 1 (1 of 1 items) ⏪ < 1 > ⏩

▲ Tax Details

Component	Type	Value Date	Ccy	Amount	Billing	Defer	Settl. Acct
No data to display.							

▲ Split Settlement

select	Component	Currency	Amount
No data to display.			

Page 1 of 0 (0 of 0 items) ⏪ < 1 > ⏩

Split Settlement Details

Sequence	Component	Amount	Percentage	Branch	Account Currency	Account	Exchange Rate	Original Exchange Rate	Party Type	Customer	AR-AP Tracking	Loan/Finance A
No data to display.												

Save & Close
Cancel

Field	Description	Sample Values
Event	Read only field. This field displays the event name.	
Event Description	Read only field. This field displays the description of the event.	

Commission Details

Commission Details are auto-populated from back-end system.

Edit Icon	Click edit link to edit any existing Charge Details.	
Component	This field displays the commission component	
Rate	Defaults from product. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified Rate	From the default value, if the rate is changed the value gets updated in this field.	
Currency	Defaults the currency in which the commission needs to be collected	
Amount	An amount that is maintained under the product code defaults in this field. The commission rate, if available in Back Office defaults in OBTFPM. The user is able to change the rate, but not the commission amount directly. The amount gets modified based on the rate changed and the new amount is calculated in back office based on the new rate and is populated in OBTFPM. If flat commission is applicable, then commission amount defaulted from back office is modifiable by the user. Rate field will be blank and the user cannot modify the Rate field.	
Modified	From the default value, if the rate or amount is changed, the modified value gets updated in the modified amount field.	
Defer	Select the check box, if charges/commissions has to be deferred and collected at any future step.	

Field	Description	Sample Values
Waive	<p>Select the check box to waive charges/ commission.</p> <p>Based on the customer maintenance, the charges/commission can be marked for Billing or Defer.</p> <p>If the defaulted Commission is changed to defer or billing or waive, system must capture the user details and the modification details in the 'Remarks' place holder.</p>	
Split	The user can split the Commission by enabling/ disabling the flag as per the requirement.	
Charge Party	Charge party will be 'Applicant' by Default. You can change the value to Beneficiary	
Settlement Account	Details of the Settlement Account.	
Amend	Displays if the field is amendable or not.	
Charge Details		
Component	Charge Component type.	
Tag Currency	Defaults the tag currency in which the charges have to be collected.	
Tag Amount	Tag amount that is maintained under the product code.	
Currency	Defaults the currency in which the charges have to be collected.	
Amount	An amount that is maintained under the product code gets defaulted in this field. User can edit the value, if required.	
Modified	From the default value, if the rate is changed or the amount is changed, the value gets updated in the modified amount field.	
Billing	<p>If charges are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is 'Billing' enabled, 'Billing' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can not select/de-select the check box if it is de-selected by default.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Defer	<p>If charges have to be deferred and collected at any future step, this check box has to be selected.</p> <p>On simulation of charges/commission from Back Office, if any of the Charges/Commission component for the customer is AR-AP tracking enabled, 'Defer' toggle for that component should be automatically checked in OBTFPM.</p> <p>The user can select/de-select the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.</p>	
Waive	<p>If charges have to be waived, this check box has to be selected.</p> <p>Based on the customer maintenance, the charges should be marked for Billing or for Defer.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	
Split	The bank User can split the Charges/Commission by enabling/disabling the flag as per the requirement.	
Charge Party	Charge party will be beneficiary by default. You can change the value to applicant	
Settlement Account	Details of the settlement account.	

Tax Details

The tax component is calculated based on the commission and defaults if maintained at product level. User cannot update tax details and any change in tax amount on account of modification of charges/ commission will be available on click of Re-Calculate button or on hand off to back-end system.

Tax details are defaulted from the back-end system.

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Currency	The tax currency is the same as the commission.	
Amount	The tax amount defaults based on the percentage of commission maintained. User can edit the tax amount, if required.	
Billing	<p>If taxes are handled by separate billing engine, then by selecting billing the details to be available for billing engine for further processing.</p> <p>This field is disabled, if 'Defer' toggle is enabled.</p>	

Field	Description	Sample Values
Component	This field displays the tax Component.	
Type	This field displays the type of tax Component.	
Value Date	This field displays the value date of tax component.	
Defer	If taxes have to be deferred and collected at any future step, this option has to be enabled. The user can enable/disable the option the check box. On de-selection the user has to click on 'Recalculate' charges button for re-simulation.	
Settlement Account	Details of the settlement account.	

Split Settlement

Once the user clicks on the Recalculate button to fetch the Split Settlement details from Backoffice, new section "Split Settlement" will appear below the 'Tax' section. The default parties in Split row should be fetched from OBTF.

Field	Description	Sample Values
Component	The split component type eligible for Split .	
Currency	The currency of split settlement.	
Amount	The amount of split settlement.	

Split Settlement Details

Split Settlement details section appears from Back office, when the user clicks on the Recalculate button.

Split Settlement Details ✕

<p>Component CHGTRAMND_LIQD_S01</p> <p>Customer 001044</p> <p>Account PK20010440017</p> <p>Branch PK2</p> <p>Exchange Rate 1</p> <p>Party Type BEN</p> <p>AR-AP Tracking <input type="checkbox"/></p> <p>Negotiation Rate <input type="text"/></p>	<p>Amount 50</p> <p><input type="checkbox"/></p> <p>Account Currency GBP</p> <p>Percentage 50.00</p> <p>Original Exchange Rate 1</p> <p>Negotiation Reference <input type="text"/></p> <p>Loan/Finance Account N</p>
--	--

Fetch Exchange Rate
Save & Close
Close

Field	Description	Sample Values
Sequence	The sequence number is auto populated with the value, generated by the system.	
Component	The split component type eligible for Split.	
Amount	The system splits the respective Charge/ Commission amount automatically between counter party and third party with 50% value by default. The bank user can modify the amount. More than two splits are not allowed.	
Customer	Indicates the ID of the Customer in Split Settlement Details section.	
Account	The system defaults the settlement account. User can modify the settlement account. System initiates a call to common core tables within OBTFPM to select the account	
Account Currency	Defaults the currency of the account.	
Branch	Indicates the branch of the customer where transaction is getting processed.	
Percentage	The system splits the respective Charge/ Commission percentage automatically between counter party and third party with 50% value by default. More than two splits are not allowed. The bank user can modify the amount. The system should validate that the total percentage of each component doesn't exceed 100 and the total amount of each component doesn't exceed total component amount.	
Exchange Rate	System populates the exchange rate maintained.	
Original Exchange Rate	System displays the Original Exchange Rate as simulated in split settlement details section.	
Party Type	System displays the party type in split settlement details section.	
Negotiation Reference	Specify the negotiation reference number.	
AR-AP Tracking	Indicates to defer the charge/ commission in Split Settlement Details section. The user can modify the AR-AP Tracking flag as per the requirements.	
Loan/Finance Account	Displays the loan account.	

Field	Description	Sample Values
Negotiation Rate	Specify the negotiation rate.	

Assignment of Proceeds

In this tile, assignment details for the applicable processes is displayed. Proceeds can be assigned only if the parties have been assigned during drawing or update drawing process.


Assignment of Proceeds x

Assignment Paid By Importer

Assignee Details + -

Sequence	Assignee Id & Assignee Details	Assignment %	Currency	Assigned Amount	Account	Assignee Account	Account With Institution
1	032411 <input type="text" value="CIFIMJ11"/>	34	AED	£9,180.00			

Save & Close Cancel

Field	Description	Sample Values
Assignment Paid By Importer	Slide the toggle if assignment is paid by importer.	
Sequence	User can update the sequence number.	
Assignee ID & Assignee Details	<p>User can select the assignee id. Assignee details appear based on selected assignee ID.</p> <p>User can add multiple assignees to a single Bill under LC with the assignment amount or assignment percentage of parent LC.</p> <div style="text-align: center;">  <p>Note</p> <p>WALKIN customers is allowed as assignee.</p> </div>	

Field	Description	Sample Values
Assignment %	User can input the percentage of LC amount that has be assigned to the assignee. Once the user updates the rate, the system has to calculate the amount as per assigned rate and default in the assigned amount field. If the user directly captures the assigned amount then the assigned percentage has to calculate the percentage and the same to be populated in the screen. If contribution is more than 100%, system to display an alert message. Once contribution % is input system will default the amount. System to validate that Limit Contribution percentage plus Collateral percentage is equal to 100. Otherwise system to provide override.The assignment percentage should be rounded to two decimal places	
Currency	User can select the account currency of the settlement account of the assignee. Only the currencies for which the settlement account is available, only those currency will be displayed.	
Assigned Amount	User can input the amount that has to be assigned to the assignee. If the user has already entered the assignment percentage, system to calculate the amount and populate the value	
Account	Click Search to search and select the account.	
Assignee Account	Specify the assignee account	
Account with Institution	User can select the account number of the Account with Institution.	

FX Linkage Details

This section enables the user to link the existing FX contract(s) to the LC transactions. User can link multiple forward FX contracts.FX contract linkage with the Bill booking can happen only for immediate liquidation of sight payment or for Usance. For manual sight payment, the user needs to link the FX contract on the date of liquidation of the Bill.

Following are the conditions of FX Linkage:

- If any LC Amendment is pending for beneficiary confirmation with FX changes, then the system, will not allow another amendment on this contract further.
- FX request will be processed in external system, only after successful amendment confirmation has been done.
- Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.
- Delink of linked FX or Reduction of linked amount from the LC is allowed if the same is not attached to any Bills.

- Reduction of LC contract amount with FX, through LC amendment will be restricted, when the contract amount goes below the FX linkage amount. User will be intimated with the message.

FX Linkage

FX Linkage

FX Reference Number	Bought Currency	SOLD Currency	Available Contract Amount	Rate	Linked Amount	Total Utilized Amount	FX Expiry Date	Action
032FXF2232155502	AED	USD	AED 222,999.99	1.4	AED 100,000.00	AED 0.00	Jan 31, 2025	

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Average FX Rate
0

Save & Close Cancel

FX Linkage

FX Reference Number *
032FXF2230890501

Contract Amount
AED AED 149,999,998.50

Linkage Amount *
AED AED 27,000.00

FX Amount in Local Currency
GBP £149,999,998.50

FX Delivery Period From

Currency
AED

Available FX Contract Amount
AED AED 149,873,698.50

Rate
1.5

FX Expiry Date
Dec 30, 2025

FX Delivery Period To

Save & Close Close

Provide the FX linkage detail based on the description in the following table:

Field	Description	Sample Values
-------	-------------	---------------

Click + plus icon to add new FX linkage details.

Below fields are displayed on the FX linkage pop-up screen, if the user clicks plus icon.

Field	Description	Sample Values
FX Reference Number	<p>Select the FX contract reference number from the LOV.</p> <p>On select and save and close, system defaults the available amount, bot currency, sold currency and rate.</p> <p>Forward FX Linkage available for selection at bill would be as follows,</p> <ul style="list-style-type: none"> Counterparty of the FX contract should be the counterparty of the Bill contract. Active Forward FX transactions authorized not marked for auto liquidation. <p>Bill contract currency should be BOT currency of the FX transaction in case of an export Bill or the SOLD currency in case of an Import Bill.</p>	
Currency	This field displays the FX BOT currency from the linked FX contract.	
Contract Amount	<p>This field displays the FX BOT currency and Amount.</p> <p>The user can change the currency.</p>	
Available FX Contract Amount	<p>This field displays the available FX contract amount.</p> <p>The value is from the "Available Amount" in FXDLINKG screen in OBTR.</p> <p>Available Amount BOT currency and Amount is displayed.</p>	
Linkage Amount	<p>This field displays the amount available for linkage.</p> <p>The Linkage amount should default the LC Contract Currency and allowed to change the linkage amount alone.</p> <p>The validation "Sum of Linked amount will not be greater than contract amount" or "Linkage amount will not be greater than the available amount for linkage" should be triggered on save of the FX linkage screen when trying to link the single FX or multiple FX.</p>	
Rate	This field displays the exchange rate defaulted from the linked FX Contract.	
FX Amount in Local Currency	<p>This field displays the FX amount in local currency.</p> <p>The value is defaulted as FX BOT currency and Amount from FXDTRONL</p>	
FX Expiry Date	This field displays the expiry date from the linked FX contract.	

Field	Description	Sample Values
FX Delivery Period - From	This field displays the date from which the contract is valid for utilization.	
FX Delivery Period - To	This field displays the date to which the contract is valid for utilization.	
Below fields appear in the FX linkage grid along with the above fields.		
Bought Currency	This field displays the currency from the linked FX contract.	
Sold Currency	This field displays the currency from the linked FX contract.	
Available Contract Amount	Available amount will be FX contract amount minus the linked amount. Available amount for linkage should be greater than Zero.	
Linked Amount	Sum of Linked amount will not be greater than LC contract amount. Linked amount will not be greater than the available amount for linkage.	
Total Utilized amount	This field displays the total amount utilized against the corresponding linked FX. On query, both Utilized and Total Utilized amount holds the amount of latest version. The value is Total Utilized Amount BOT currency and Amount for Import LC/Guarantee Issuance from FXDLINKG	
Average FX Rate	Multiple forward FX contract could be linked, and exchange rate of FX contract vary from each. Hence, effective exchange rate for bill would be arrived using weighted average method and it is utilized during purchase/negotiation/discount or liquidation of the bill. This will be populated in the Average FX Rate.	
Action	Click the Edit icon to modify the FX details. Click the Delete icon to delete the FX details.	

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	

Field	Description	Sample Values
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	
Refer	User must select a Refer Reason from the values displayed by the system. Refer Codes: <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	
Next	On click of Next, system should validate if all the mandatory fields have been captured. Necessary error and override messages to be displayed. On successful validation, system moves the task to the next data segment.	

Summary

User can review the summary of details updated in Scrutiny Islamic Export LC Amendment request.

Log in to Oracle Banking Trade Finance Process Management (OBTFPM) system to see the summary tiles. The tiles must display a list of important fields with values. User can drill down from summary Tiles into respective data segments.

The screenshot shows the Oracle Banking Trade Finance Process Management (OBTFPM) system interface. The top navigation bar includes the Oracle logo and user information (ENTITY_ID1, ENTITY_J..., Aug 9, 2023, SANDHYA02, subham@gmail.com). The main header displays the application title "Export LC Amendment Islamic" and the application number "032IELM000173806". The left sidebar contains a navigation menu with options: Main Details, Availability Shipment, Payment Details, Amendment Details, Additional Details, and Summary (selected). The main content area is titled "Summary" and is divided into several data segments:

- Main Details:** Form of LC : IRREVOCABLE, Submission Mode : Desk, Date of Issue : 2023-08-03, Date of Expiry : 2023-10-31, Place of Expiry : AE.
- Availability Shipment:** Available With : BSRIIT31XXX, Available By : NEGOTIATION, Port of Loading : AE, Port of Discharge : GB.
- Payment Details:** Period of Present. : MAY ADD, Confirmation Instr. : MAY ADD.
- Amendment Details:** Click here to view Amended/Updated Details.
- Limits and Collaterals:** Contribution Currency : null, Amount to Earmark : null, Limit Status : Not Verified, Collateral Currency : null, Collateral Contr. : null, Collateral Status : Not Verified, Deposit Linkage CCY : null, Deposit Linkage Amount : null.
- Commission, Charges and Taxes:** Charge : AED 50.00, Commission : null, Tax : AED 1.50, Block Status : Not Initiated.
- Revolving Details:** Revolving : N, Revolving In : null, Revolving Frequency : null.
- Assignment of Proceeds:** Assignment of proceeds: No, Assignee Name : null, Assigned Amount : null.
- FX Linkage:** Reference Number : null, Linkage Amount : null, Contract Currency : null.

The bottom of the page features a row of action buttons: Audit, Request Clarification, Reject, Refer, Hold, Cancel, Save & Close, Back, Next, and Submit.

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and taxes - User can view and modify commission, charges and taxes details, if required.
- Revolving Details - User can view the Revolving details.
- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Documents	Upload the required documents.	
Remarks	Provide any additional information regarding the LC. This information can be viewed by other users processing the request.	
Overrides	Click to view the overrides accepted by the user.	
Incoming Message	Clicking this button allows the user to see the message in case of STP of incoming MT 767.	
View LC	Enables the user to view the latest LC values displayed in the respective fields.	

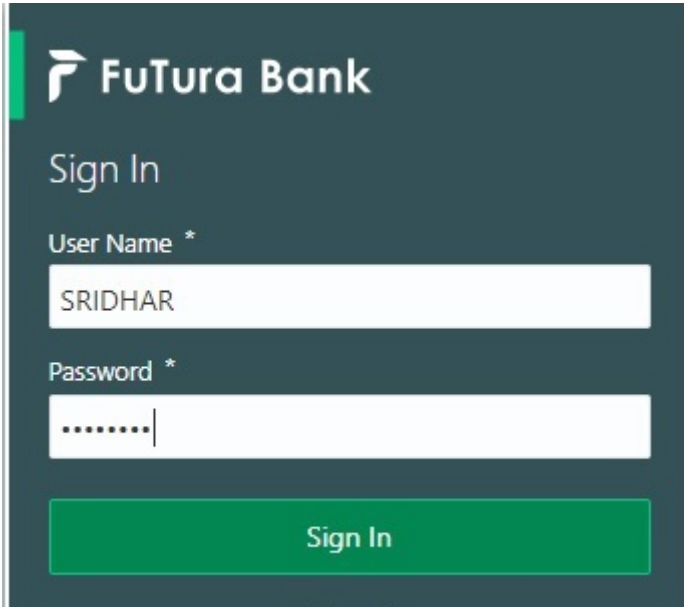
Field	Description	Sample Values
Submit	<p>Task will get moved to next logical stage of Export LC Amendment.</p> <p>If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.</p>	
Back	Task moves to the previous screen.	
Save & Close	<p>Save the information provided and holds the task in you queue for working later.</p> <p>This option will not submit the request</p>	
Cancel	Cancel the Scrutiny stage inputs.	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Refer	<p>User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. 	

Data Enrichment

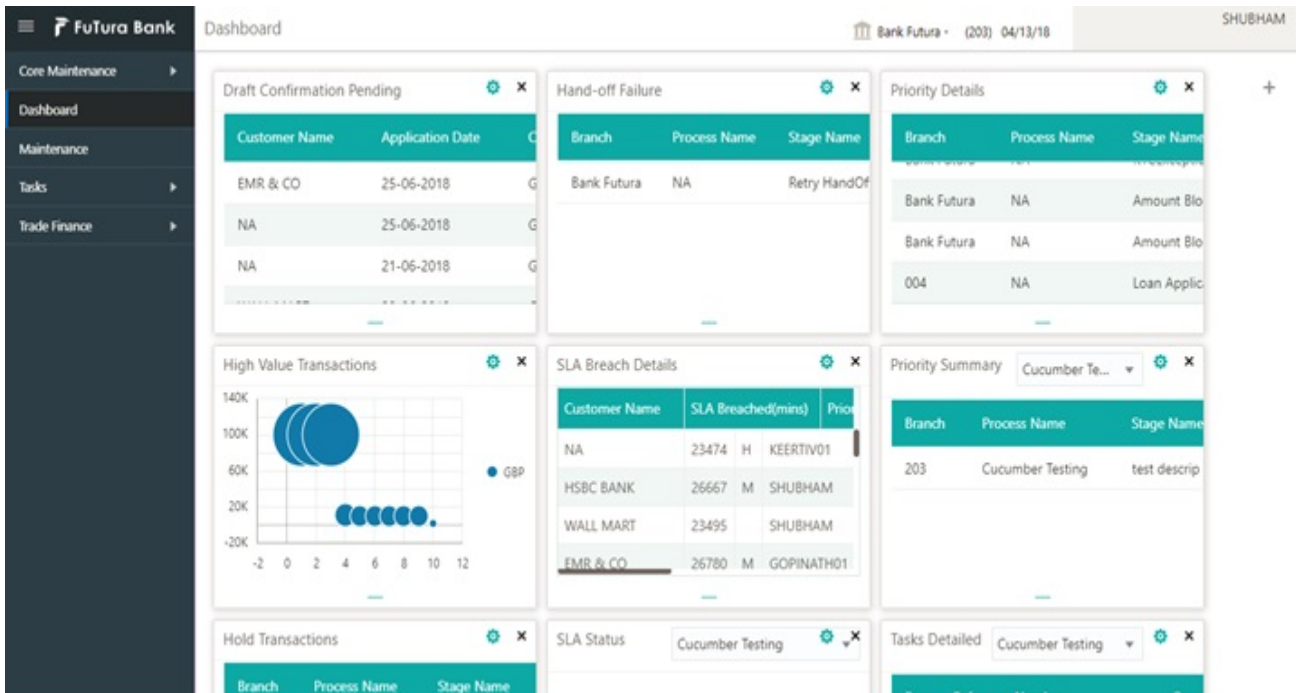
As part of Data Enrichment, user can enter/update basic details of the incoming request.

Do the following steps to acquire a task at Data Enrichment stage:

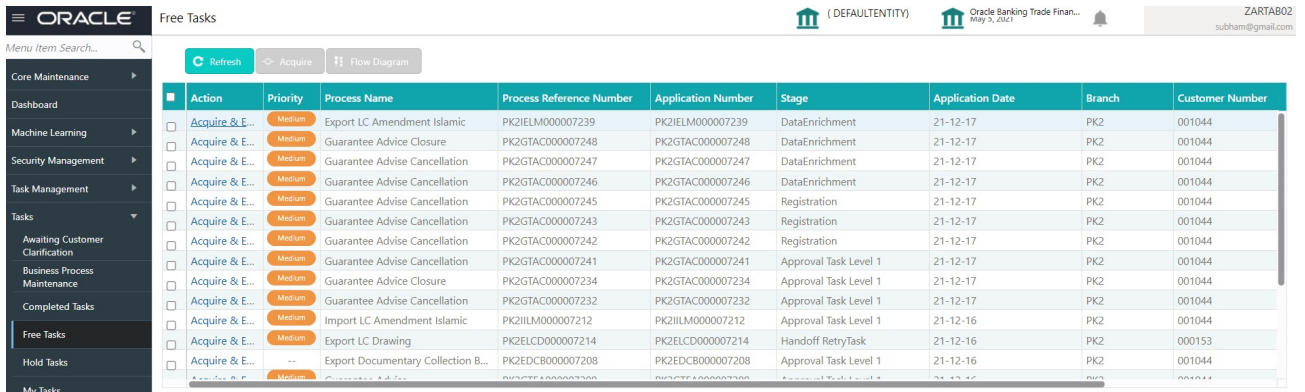
1. Using the entitled login credentials for Data Enrichment stage, login to the OBTFPM application.



2. On login, user must be able to view the dashboard screen with widgets as mapped to the user.



3. Click Trade Finance> Tasks> Free Tasks.



4. Select the appropriate task and click **Acquire & Edit** to edit the task or click **Acquire** to edit the task from **My Tasks** tab.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number
<input checked="" type="checkbox"/>	Medium	Export LC Amendment Islamic	PK2IELM000007239	PK2IELM000007239	DataEnrichment	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Closure	PK2GTAC000007248	PK2GTAC000007248	DataEnrichment	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007247	PK2GTAC000007247	DataEnrichment	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007246	PK2GTAC000007246	DataEnrichment	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007245	PK2GTAC000007245	Registration	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007243	PK2GTAC000007243	Registration	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007242	PK2GTAC000007242	Registration	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007241	PK2GTAC000007241	Approval Task Level 1	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Closure	PK2GTAC000007234	PK2GTAC000007234	Approval Task Level 1	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Guarantee Advice Cancellation	PK2GTAC000007232	PK2GTAC000007232	Approval Task Level 1	21-12-17	PK2	001044
<input type="checkbox"/>	Medium	Import LC Amendment Islamic	PK2IILM000007212	PK2IILM000007212	Approval Task Level 1	21-12-16	PK2	001044
<input type="checkbox"/>	Medium	Export LC Drawing	PK2ELCD000007214	PK2ELCD000007214	Handoff RetryTask	21-12-16	PK2	000153
<input type="checkbox"/>	Medium	Export Documentary Collection B...	PK2EDCB000007208	PK2EDCB000007208	Approval Task Level 1	21-12-16	PK2	001044

5. The acquired task will be available in **My Tasks** tab. Click **Edit** to provide input for Data Enrichment stage.

Action	Priority	Process Name	Process Reference Number	Application Number	Stage	Application Date	Branch	Customer Number	Amount
<input checked="" type="checkbox"/>	Medium	Export LC Amendment L...	PK2IELM000007239	PK2IELM000007239	DataEnrichment	21-12-17	PK2	001044	
<input type="checkbox"/>	Medium	Export LC Amendment L...	PK2IELM000007240	PK2IELM000007240	Registration	21-12-17	PK2	001044	
<input type="checkbox"/>	Medium	Export LC Advise Islamic	000IELA000007237	000IELA000007237	Approval Task Level 1	21-12-17	PK2	000335	
<input type="checkbox"/>	Medium	Import LC Issuance Isla...	PK1IIL000007200	PK1IIL000007200	KYC Exceptional approval	21-12-16	PK2	000325	
<input type="checkbox"/>	Medium	Shipping Guarantee Iss...	PK2SGTI000007183	PK2SGTI000007183	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Lodge Claim - Guarante...	PK2GTGEC000007179	PK2GTGEC000007179	Scrutiny	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee advise claim ...	PK2GADC000007176	PK2GADC000007176	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Clo...	PK2GTGEC000007172	PK2GTGEC000007172	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee SBLC Issuanc...	PK2GISCO000007171	PK2GISCO000007171	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Inte...	PK2GTGEC000007167	PK2GTGEC000007167	DataEnrichment	21-12-16	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Inte...	PK2GTGEC000007163	PK2GTGEC000007163	Registration	21-12-15	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Issuance Ame...	PK2GTGEC000007162	PK2GTGEC000007162	DataEnrichment	21-12-15	PK2	001044	
<input type="checkbox"/>	Medium	Guarantee Cancellation	PK2GTGEC000007160	PK2GTGEC000007160	DataEnrichment	21-12-15	PK2	000325	

The Data Enrichment stage has six sections as follows:

- Main Details
- Availability Shipment
- Documents and Conditions
- Payment Details
- Amendment Details
- Additional Fields
- Advices
- Additional Details
- Summary

Let's look at the details for Data Enrichment stage. User must be able to enter/update the following fields. Some of the fields that are already having value from Scrutiny/Online channels may not be editable.

Main Details

Refer to [Main Details](#).

Availability Shipment

Refer to [Availability Shipment](#).

Documents and Conditions

User must provide details of the required documents and additional conditions (if applicable) in this section.

The screenshot shows the Oracle application interface for 'Export LC Amendment Islamic'. The 'Documents and Conditions' section is active, displaying a table for 'Document Details' and an 'Additional Conditions' section.

Code	Document Description	Copy	Original	Clause Details	Original Doc. Required	Action
AIRDOC	Air Way Documents	2	1/2	AIRDOC	<input checked="" type="checkbox"/>	<input type="text"/> <input type="text"/>

Additional Conditions section is currently empty, displaying 'No data to display.'

Documents Required

Documents required section displays the list of documents required as per the issued LC and can be modified if required.

Online Channel - System will default the details received in the Description column. Based on the details populated, user can pick corresponding values for document code, originals and copy.

Non Online Channel - User can further edit (add or remove) the documents or document description as per requirement. Application will display an alert message, if both 'Bill Of lading' and 'Airway Bill' are chosen.

Based on the 'Product' selected, Application will default the documents required under the LC. User can edit the details, delete an existing document and also add additional documents to the defaulted list.

Field	Description	Sample Values
Code	Document code is auto-populated from the latest LC. User can add multiple line of grid by clicking '+' icon. Click Search to search and select document code.	
Document Description	Description of the document is auto-populated from the latest LC. The user can change the description.	
Copy	Number of duplicate copies of documents as required in LC.	
Original	Number of documents in original as required in LC.	

Field	Description	Sample Values
Clause Details	System displays the clause details. User can view and edit the clause description by clicking the link.	
Original Doc. Required	System defaults the value to display whether the original document is required or not. The user can enable the option, if document is required.	
Action	Click Edit icon to edit the document details. Click Delete icon to delete the document details.	

Additional Conditions

Additional Conditions section displays the conditions of the issued LC and can be amended if required.

Online Channel - System will default the details received in the description column. System will parse the additional conditions required field into multiple line items based on line de-limiter (+) and shall populate each line item as a separate description. User can read the description and make any changes required to the description, also must be able to add more conditions.

Non Online Channel - User can use FFT to capture additional conditions and can edit the description populated from FFT. You should also be able to add additional FFT.

Field	Description	Sample Values
FFT Code	This field displays the FFT code as per the latest LC.	
FFT Description	This field displays the description of the FFT code as per the latest LC.	
Action	Click Edit icon to edit the additional conditions details. Click Delete icon to delete the additional conditions details.	

Payment Details

Refer to [Payment Details](#).

Amendment Details

A DE user can verify the Amendment data segment details of an Islamic Export LC amendment.

Refer to [Amendment Details](#).

Additional Fields

Refer to [Additional Fields](#).

Advices

Advices menu displays the advices available under a product code from the back office as tiles. User can edit the fields in the tile, if required. User can verify the advices details data segment of an Islamic Export LC amendment.

The screenshot shows the Oracle interface for 'Export LC Amendment Islamic - DataEnrichment'. The 'Advices' menu is active, displaying three advice tiles:

- Advice : AMD_EXP_CR**
 - Advice Name : AMD_EXP_CR
 - Advice Party : BEN
 - Party Name : GOODCARE PLC
 - Suppress : NO
- Advice : LC_ACK_AMND**
 - Advice Name : LC_ACK_AMND
 - Advice Party : ISB
 - Party Name : WELLS FARGO LA
 - Suppress : NO
- Advice : LC_CASH_COL_A...**
 - Advice Name : LC_CASH_COL_ADV
 - Advice Party : ISB
 - Party Name : WELLS FARGO LA
 - Suppress : NO

Navigation buttons at the bottom include: Audit, Reject, Refer, Hold, Cancel, Save & Close, Back, Next.

User can suppress the advice, if required.

The 'Advice Details' dialog box shows the following fields and sections:





- Suppress Advice:** A toggle switch is currently turned on.
- Advice Name:** TRADE_ENVELOPE
- Medium:** MAIL
- Advice Party:** BEN
- Party ID:** 032204
- Party Name:** Air Arabia
- FFT Code Table:**

FFT Code	FFT Description	Action
12FRETCOURSE		[Edit] [Delete]
- Instructions Table:**

Instruction Code	Instruction Description	Edit	Action
E202	. IN REIMBURSEMENT PLEASE TELE-REMIT THE FUNDS TO	[Edit]	[Delete]

Buttons: OK, Cancel

Field	Description	Sample Values
Suppress Advice	<p>Toggle on: Switch on the toggle if advice is suppressed.</p> <p>Toggle off: Switch off the toggle if suppress advice is not required for the amendments</p>	
Advice Name	<p>Read only field.</p> <p>This field displays the advice name defaulted from drawing LC.</p>	

Field	Description	Sample Values
Medium	The medium of advices is defaulted from the system. User can update if required.	
Advice Party	Read only field. Value be defaulted from drawing LC.	
Party ID	Read only field. Value be defaulted from drawing LC.	
Party Name	Read only field. Value be defaulted from drawing LC .	
Free Format Text		
	Click plus icon to add new FFT code.	
FFT Code	User can select the FFT code as a part of free text.	
FFT Description	FFT description is populated based on the FFT code selected.	
	Click edit icon to edit any existing FFT code.	
Action	Click Edit icon to edit the FFT details. Click Delete icon to delete the FFT details.	
Instruction Details		
	Click plus icon to add new instruction code.	
Instruction Code	User can select the instruction code as a part of free text.	
Instruction Description	Instruction description is populated based on the Instruction code selected.	
	Click edit icon to edit any existing Instruction code.	
Action	Click Edit icon to edit the instruction details. Click Delete icon to delete the instruction details.	

Additional Details

As part of DE, user can verify and enter the basic additional details available in the LC amend. In case the request is received through online channel i will verify the details populated.

Export LC Amendment Islamic - DataEnrichment :: Application No: PK2IELM000007239

Customer Instruction Common Group Messages Incoming Message View LC

Main Details
Availability Shipment
Documents and Conditions
Payment Details
Amendment Details
Additional Fields
Advices
Additional Details
Summary

Additional Details

Limits and Collaterals	Commission,Charges and...	Revolving Details	FX Linkage
Limit Currency : Limit Contribution : Limit Status : Collateral Currency : GBP Collateral Contribution : 80 Collateral Status :	Charge : Commission : Tax : Block Status :	Revolving : No Revolving In : Revolving Frequency :	FX Reference Number : Contract Currency : Contract Amount :

Preview Messages

Language : Preview Advice : -

Audit Reject Refer Hold Cancel Save & Close Back Next

Screen (8 / 9)

Limits & Collateral

Refer to [Limits & Collateral](#).

Commission, Charges and Taxes Details

Refer to [Commission, Charges and Taxes Details](#).

FX Linkage

Refer to [FX Linkage](#)

Revolving Details

Field	Description	Sample Values
Revolving	Read only field. Displays the LC is revolving or non-revolving.	
Revolving In	Read only field. The LC can revolve with Time or Units.	
Revolving Frequency	Read only field. This field captures the frequency in days and months by which the LC revolves.	
Revolving Units	Read only field. This field captures the units by which the LC revolves.	
Next Reinstatement Date	Read only field. This field defaults the date of next reinstatement for the LC based on the revolving frequency selected.	
Cumulative	Read only field. This field is a toggle to indicate if the LC value has to be cumulative or not on reinstatement.	
Automatic Reinstatement	Read only field. This field enables to have automatic reinstatement on the reinstatement day without manual intervention.	

Preview Message

User can view the draft message being displayed on the preview message text box.

Preview Messages x

▾ Preview - SWIFT Message

Language
English ▾

Message Status
[Placeholder]

Message Type
[Dropdown]

Repair Reason
[Placeholder]

Preview Message
[Placeholder]

▾ Preview - Mail Advice

Language
English ▾

Message Status
[Placeholder]

Advice Type
[Dropdown]

Repair Reason
[Placeholder]

Preview Message
[Placeholder]

Save & Close Cancel

Field	Description	Sample Values
Preview - SWIFT Message		
Language	Read only field. English is set as default language for the preview.	
Message type	Select the message type from the drop down. User can choose to see preview of different message like MT 700, MT 740 and MT 701.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the draft message.	
Preview - Mail Device		
Language	Read only field. English is set as default language for the preview.	
Advice Type	Select the advice type.	
Message Status	Read only field. Display the message status of draft message of liquidation details.	
Repair Reason	Read only field. Display the message repair reason of draft message of liquidation details.	
Preview Message	Display a preview of the advice.	

Assignment of Proceeds

Refer to [Assignment of Proceeds](#).

Linked Finance Details

This user can view the details of linked loan accounts.

Linked Finance Details		
Finance Account	Finance Currency	Finance Amount
No data to display.		

[Close](#)

Provide the linked loan details based on the description in the following table:

Field	Description	Sample Values
Finance Account	The details of the linked loan account.	
Finance Currency	Loan Currency of the linked loan account.	
Finance Amount	Loan amount of the linked loan account.	

Settlement Details

As part of DE, user can verify and enter the basic additional details available in the LC Amend. In case the request is received through online channel user can verify the details populated.

Export LC Amendment Islamic - DataEnrichment :: Application No: PKZELCA000003603

Overrides Incoming Message View LC

Screen (9 / 10)

Settlement Details

Current Event

Component	Currency	Debit/Credit	Account	Account Description	Account Currency	Netting Indicator	Current Event	Original Exchange Rate	Exchange Rate	Deal Reference No
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COM1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
AILSR_COMM_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sic	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sic	GBP	No	No			
APCHCLASS_LIQD	GBP	Debit	152110003	Domestic Export Sic	GBP	No	No			
ARC1_LIQD	GBP	Debit	PK20010440017	GOODCARE PLC	GBP	No	No			

Audit Reject Refer Hold Cancel Save & Close Back Next

Provide the settlement details based on the following field description.

Field	Description	Sample Values
Current Event	The user can select the check box to populate the settlement details of the current event associated with the task. On De-selecting the check box, the system list all the accounts under the settlement details irrespective of the current event.	
Component	Components gets defaulted based on the product selected.	
Currency	System displays the default currency for the component.	
Debit/Credit	System displays the debit/credit indicators for the components.	
Account	System displays the account details for the components.	
Account Description	System displays the description of the selected account.	

Field	Description	Sample Values
Account Currency	System defaults the currency for all the items based on the account number.	
Netting Indicator	System defaults the applicable Netting Indicator.	
Current Event	System defaults the current event as Y or N.	

Summary

User can review the summary of details updated in Data Enrichment stage Export LC Amendment request.

As part of summary screen, user can see the summary tiles. The tiles should display a list of important fields with values.

The screenshot shows the Oracle system interface for an Export LC Amendment Islamic Data Enrichment request. The main content area is titled 'Summary' and contains a grid of summary tiles. The tiles are organized as follows:

- Payment Details:** Period of Present. : ; Confirmation Instr. : **MAY ADD**
- Main Details:** Form of LC : **IRREVOCABLE**; Submission Mode : **Desk**; Date of Issue : **2023-08-03**; Date of Expiry : **2023-10-31**; Place of Expiry : **AE**
- Availability Shipment:** Available With : **BSRIIT31XXX**; Available By : **NEGOTIATION**; Port of Loading : **AE**; Port of Discharge : **GB**
- Documents and Conditions:** Document 1 : **AIRDOC**
- Amendment Details:** Click here to view Amended/Updated Details
- Additional Fields:** Click here to view Additional fields
- Limits and Collaterals:** Contribution Currency : ; Amount to Earmark : **null**; Limit Status : **Not Verified**; Collateral Currency : ; Collateral Contr. : ; Collateral Status : **Not Verified**; Deposit Linkage CCY : ; Deposit Linkage Amount : ;
- Commission, Charges and Taxes:** Charge Commission : **AED 50.00**; Tax : **AED 1.50**; Block Status : **Not Initiated**
- Revolving Details:** Revolving : **N**; Revolving In : ; Revolving Frequency : ;
- Preview Messages:** Language : **ENG**; Preview Message : -
- Settlement Details:** Component : **LICOURAMND_L...**; Account Number : **0322040001**; Currency : **AED**
- Parties Details:** Issuing Bank : **ABU DHABI CO...**; Beneficiary : **Air Arabia**; Applicant : **APPLICANT LT...**
- Compliance details:** KYC : **Not Initiate...**; Sanctions : **Not Initiate...**; AML : **Not Initiate...**
- Accounting Details:** Event : **AMND**; AccountNumber : **0322040001**; Branch : **032**
- Assignment of Proceeds:** Assignmentofproceeds : **No**; Assignee Name : ; Assigned Amount : ;
- FX Linkage:** Reference Number : ; Linkage Amount : ; Contract Currency : ;
- Linked Loan Details:** loanAcc : ; Loan Currency : ; Loan Amount : ;

Tiles Displayed in Summary

- Main Details - User can view and modify details about application details and LC details, if required.
- Payment Details - User can view and modify all details related to payments, if required.
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Additional Fields - User can view the details of additional fields of the issued LC.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Commission, Charges and Taxes - User can view and modify Commission, Charges and Taxes details, if required.
- Revolving Details - User can view the Revolving details.

- Preview Messages - User can view and modify preview details, if required.
- Settlement Details - User can view the settlement details.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Compliance Details - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.
- Accounting Details - User can view the accounting entries generated in back office.



Note

When the Value Date is different from the Transaction Date for one or more accounting entries, system displays an Alert Message “Value Date is different from Transaction Date for one or more Accounting entries.

- Assignment of Proceeds - User can view the details of assignment of proceeds.
- FX Linkage - User can view the FX linkage details.
- Linked Finance Details - User can view the Linked Finance details

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Submit	Task will get moved to next logical stage of Export LC Amendment. If mandatory fields have not been captured, system will display an error message until the mandatory fields data are provided.	
Save & Close	Save the information provided and holds the task in you queue for working later. This option will not submit the request	
Cancel	Cancel the Data Enrichment stage inputs.	
Hold	The details provided will be registered and status will be on hold. This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.	
Reject	On click of Reject, user must select a Reject Reason from a list displayed by the system. Reject Codes: <ul style="list-style-type: none"> • R1- Documents missing • R2- Signature Missing • R3- Input Error • R4- Insufficient Balance/Limits • R5 - Others. Select a Reject code and give a Reject Description. This reject reason will be available in the remarks window throughout the process.	

Exceptions

The Export LC Amendment request, before it reaches the approval stage, the application will validate the Amount Block, KYC and AML. If any of these failed in validation will reach exception stage for further clearance for the exceptions.

Exception - Amount Block

As part of amount block validation, application will check if sufficient balance is available in the account to create the block. On hand-off, system will debit the blocked account to the extent of block and credit charges/ commission account in case of charges block or credit the amount in suspense account for blocks created for collateral.

The transactions that have failed amount block due to non-availability of amount in respective account will reach the amount block exception stage.

Log in into OBTFPM application, amount block exception queue. Amount block validation failed tasks for trade transactions will be listed in the queue. Open the task to view summary of important fields with values. On Approval, system should not release the Amount Block against each applicable account and system should handoff the “Amount Block Reference Number “to the back office. On successful handoff, back office will make use of these “Amount Block Reference Number” to release the Amount Block done in the mid office (OBTFPM) and should debit the CASA account from the Back office. If multiple accounts are applicable, Amount Block Reference for all accounts to be passed to the back office.

Exception is created when sufficient balance is not available for blocking the settlement account and the same can be addressed by the approver in the following ways:

Approve:

- Settlement amount will be funded (outside of this process)
- Allow account to be overdrawn during hand-off

Refer:

- Refer back to DE providing alternate settlement account to be used for block.
- Different collateral to be mapped or utilize lines in place of collateral.

Reject:

Reject the transaction due to non-availability of sufficient balance in settlement account

Amount Block Exception

This section will display the amount block exception details.

Export LC Amendment Islamic - Amount Block Exception Remarks Documents Checklist

Amount Block Exception Screen (1 / 2)

Application :- 2031LCISS000000888

Amount Block Exception Details

Type	Contract	Currency	Block Amount	Branch	Account	Account Currency	Block Ref No	Block Status	Block Status Details
No data to display.									

Reject Hold Refer Cancel Approve Back Next

Summary

Export LC Amendment Islamic - Amount Block Exception Remarks Documents Checklist

Summary Screen (2 / 2)

Payment Details	Main Details	Availability Shipment	Documents and Conditions	Amendment Details
Period of Present. : Confirmation Instr. : WITHOUT	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-12-31 Place of Expiry : TEST	Available With : CITIGB2LNIN Available By : PAYMENT Port of Loading : TEST Port of Discharge : TEST	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDIOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and Taxes	Revolving Details	Preview Messages
Click here to view : Additional Fields	Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 80 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Revolving : N Revolving In : Revolving Frequency :	Language : ENG Preview Message :
Parties Details	Compliance details	Accounting Details	Assignment of Proceeds	Linked Loan Details
Applicant : MARKS AND Beneficiary : GOODCARE PLC Confirming Bank : WELLS FARG	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Event : Account Number : Branch :	Assignment of proceeds : No Assignee Name : Assigned Amount :	loanAcc : Loan Currency : Loan Amount :

Audit Reject Refer Hold Cancel Save & Close Back Next

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charge Details - User can view and modify details provided for charges, if required.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Amendment Amount Block Exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Know Your Customer (KYC)

As part of KYC validation, application will check if necessary KYC documents are available and valid for the applicant. The transactions that have failed KYC due to non-availability / expired KYC verification will reach KYC exception stage.

Log in into OBTFPM application, KYC exception queue. KYC exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.

User can pick up a transaction and do the following actions:

Approve

- After changing the KYC status in the back end application (outside this process).
- Without changing the KYC status in the back end application.
- Reject (with appropriate reject reason).

Summary

Export LC Amendment - KYC Exceptional approval :: Application No: PK2ELCA00058285

Summary

Main Details	Availability Shipment	Documents and Conditions	Payment Details	Amendment Details
Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2019-05-06 Date of Expiry : 2019-10-12 Place of Expiry : CHN	Available With : PPBKG821456 Available By : PAYMENT Port of Loading : CHN Port of Discharge : BRISTOL	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Period of Present. : Confirmation Instr. : WITHOUT	Click here to view : Amended/Updated Details
Additional Fields	Limits and Collaterals	Commission, Charges and Taxes	Revolving Details	Preview Messages
Click here to view : Additional Fields	Limit Currency : USD Limit Contribution : 1001 Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 80.08 Collateral Status : Not Verified	Charge : GBP50 Commission : Tax : Block Status : Not Initia	Revolving : N Revolving In : Revolving Frequency :	Language : ENG Preview Message : -
Parties Details	Compliance details	Assignment of Proceeds		
Applicant : MARKS AND Beneficiary : GOODCARE PLC Confirming Bank : WELLS FARG	KYC : Not Initia Sanctions : Not Initia AML : Not Initia	Assignment of proceeds : No Assignee Name : Assigned Amount :		

Audit

Reject Refer Hold Approve Back Print

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Amendment KYC exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Exception - Limit Check/Credit

The transactions that have failed limit check due to non-availability of limits will be available in limit check exception queue for further handling.

Log in into OBTFPM application, limit check exception queue. Limit check exception failed tasks for trade finance transactions must be listed in your queue. Open the task, to see summary tiles that display a summary of important fields with values.



Note

On Approval of the exception task, system should validate the Limit Availability, Limit Expiry Date in the Limit System and create Earmark in the ELCM system. In case if the Limit is not available or the Limit is expired, then system should display an error message and should not allow the user to approve and proceed.

Limit check Exception approver can do the following actions:

Approve

- Limit enhanced in the back end (outside this process).
- Without enhancing limit in the back end.

Refer

- Refer back to DE providing alternate limit id to map
- Refer additional collateral to be mapped

Reject

The transaction due to non-availability of limits capturing reject reason.

Application

Refer to [Application Details](#).

Limit/Credit Check

This section will display the amount block exception details.

Summary

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amendment Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	<p>Cancel the Export LC Amendment Limit exception check.</p>	
Approve	<p>On approve, application must validate for all mandatory field values, and task must move to the next logical stage.</p>	
Back	<p>Task moves to previous logical step.</p>	

Multi Level Approval

Log in into OBTFPM application and open the task to see the summary tiles. The tiles should display a list of important fields with values. User must be able to drill down from summary Tiles into respective data segments to verify the details of all fields under the data segment.



The user can simulate/recalculate charge details and during calling the handoff, if handoff is failed with error the OBTFM displays the Handoff failure error during the Approval of the task.

Summary

Export LC Amendment Islamic - Approval Task Level 1 :: Application No: PK2IELM000007239

[View](#) [Refresh](#) [Overides](#) [Customer Instruction](#) [Common Group Messages](#) [Incoming Message](#) [View LC](#)

Payment Details	Main Details	Availability Shipment	Documents and Conditions	Amendment Details	Additional Fields
Period of Present : Confirmation Instr. : WITHOUT	Form of LC : IRREVOCABLE Submission Mode : Desk Date of Issue : 2021-05-05 Date of Expiry : 2021-12-31 Place of Expiry : TEST	Available With : CITIGB2LNNN Available By : PAYMENT Port of Loading : TEST Port of Discharge : TEST	Document 1 : AIRDOC Document 2 : INSDOC Document 3 : INVDOC Document 4 : MARDOC Document 5 : OTHERDOC	Click here to view Amended/Updated Details	Click here to view Additional fields
Limits and Collaterals	Commission,Charges and Taxes	Revolving Details	Preview Messages	Parties Details	Compliance details
Limit Currency : Limit Contribution : Limit Status : Not Verified Collateral Currency : GBP Collateral Contr. : 80 Collateral Status : Not Verified	Charge : Commission : Tax : Block Status : Not Initia	Revolving : N Revolving In : Revolving Frequency :	Language : ENG Preview Message : -	Applicant : MARKS AND Beneficiary : GOODCARE PLC Confirming Bank : WELLS FARG	KYC : Verified Sanctions : Verified AML : Verified
Accounting Details	Linked Loan Details	Assignment of Proceeds	Exception(Approval)		
Event : AccountNumber : Branch :	loanAcc : Loan Currency : Loan Amount :	Assignmentofproceeds No Assignee Name : Assigned Amount :	EXCEPTION : NII		

[Audit](#) [Reject](#) [Hold](#) [Refer](#) [Cancel](#) [Approve](#)

Tiles Displayed in Summary:

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject	<p>On click of Reject, user must select a Reject Reason from a list displayed by the system.</p> <p>Reject Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance/Limits ● R5 - Others. <p>Select a Reject code and give a Reject Description.</p> <p>This reject reason will be available in the remarks window throughout the process.</p>	
Hold	<p>The details provided will be registered and status will be on hold.</p> <p>This option is used, if there are any pending information yet to be received from applicant and appropriate remarks must be provided.</p>	
Refer	<p>User will be able to refer the task back to the Data Enrichment user. User must select a Refer Reason from the values displayed by the system.</p> <p>Refer Codes:</p> <ul style="list-style-type: none"> ● R1- Documents missing ● R2- Signature Missing ● R3- Input Error ● R4- Insufficient Balance- Limits ● R5 - Others 	
Cancel	Cancel the approval.	
Approve	On approve, application must validate for all mandatory field values, and task must move to the next logical stage. If there are more approvers, task will move to the next approver for approval. If there are no more approvers, the transaction is handed off to the back end system for posting.	

Reject Approval

As a Reject approver, user can review a transaction rejected and waiting for reject confirmation.

Log in into OBTFPM application to view the reject approval tasks for Export LC Amendment in queue. On opening the task, you will see summary tiles. The tiles will display a list of important fields with values.

The tile containing the screen from where the reject was triggered will be highlighted in red.

User can drill down from reject summary tiles into respective data segments to verify the details of all fields under the data segment.

Application Details

The application details data segment have values for requests received from both non-online and online channels.

Summary

The data captured during handling of the transaction until the stage when reject is given will be available in the summary tile. Other fields will be blank when verified from summary tile.

The data segment in which the task was rejected will have the tiles highlighted in a different colour (red).

- Main Details - User can view and modify details about application details and LC details, if required.
- Party Details - User can view and modify party details like beneficiary, advising bank etc., if required
- Availability and Shipment - User can view and modify availability and shipment details, if required.
- Payments - User can view and modify all details related to payments, if required.
- Amended Details - User can view the amended details of the issued LC.
- Documents & Condition - User can view and modify the documents required grid and the additional conditions grid, if required.
- Limits and Collaterals - User can view and modify limits and collateral details, if required.
- Charges - User can view and modify charge details, if required.
- Compliance - User can view compliance details. The status must be verified for KYC and to be initiated for AML and Sanction Checks.

Action Buttons

Use action buttons based on the description in the following table:

Field	Description	Sample Values
Reject Approve	On click of Reject Approve, the transaction is rejected.	
Reject Decline	On click of Reject Decline, the task moves back to the stage where it was rejected. User can update the reason for reject decline in remarks.	
Hold	User can put the transaction on 'Hold'. Task will remain in Pending state.	
Cancel	Cancel the Reject Approval.	

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References

For more information on any related features, you can refer to the following documents:

- Getting Started User Guide
- Common Core User Guide

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